

**LEGISLATIVE APPROPRIATIONS REQUEST**

for Fiscal Years 2020 and 2021

Submitted to the  
Office of the Governor, Budget Division,  
and the Legislative Budget Board

BY

**STATE OFFICE OF ADMINISTRATIVE HEARINGS**

AUGUST 7, 2018

## TABLE OF CONTENTS

1. Administrator’s Statement.....	Page 1-3
Organizational Chart.....	Page 1
Certification of Dual Submission.....	Page 1
Budget Overview.....	Page 1
2. Summary of Request	
Summary of Base Request by Strategy.....	2.A. Page 1-2
Summary of Base Request by Method of Finance.....	2.B. Page 1-7
Summary of Base Request by Object of Expense.....	2.C. Page 1
Summary of Base Request Objective Outcomes.....	2.D. Page 1
Summary of Exceptional Items Request.....	2.E. Page 1
Summary of Total Request by Strategy.....	2.F. Page 1-2
Summary of Total Request Objective Outcomes.....	2.G. Page 1
3. Strategy and Rider Revision Request	
Strategy Request.....	3.A. Page 1-12
Program-Level Request Schedule.....	3.A.1 Page 1
Rider Revisions and Additions Request.....	3.B. Page 1-8
4. Exceptional Items	
Exceptional Item Request Schedule.....	4.A. Page 1
Exceptional Item Strategy Allocation Schedule.....	4.B. Page 1
Exceptional Item Strategy Request.....	4.C. Page 1
5. Capital Budget	
Capital Budget Project Schedule.....	5.A. Page 1-5
6. Supporting Schedules	
Historically Underutilized Business Supporting Schedule.....	6.A. Page 1-2
Current Biennium Onetime Expenditure Schedule.....	6.B. Page 1-8
Estimated Revenue Collections Supporting Schedule.....	6.E. Page 1-2
Percent Biennial Base Reduction Options.....	6.I. Page 1-5

## Administrator's Statement

8/7/2018 3:15:29PM

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

---

### 360 State Office of Administrative Hearings

---

#### INTRODUCTION

The State Office of Administrative Hearings (SOAH) was created by the 72nd Legislature to serve as a neutral, independent administrative hearings forum for the State of Texas. SOAH is governed by Chapter 2003 of the Texas Government Code, and its core purpose is to effectively separate the adjudicative function from the investigative, prosecutorial, and policymaking functions within the executive branch of state government. SOAH is charged with conducting all administrative hearings in contested cases under the Administrative Procedure Act for agencies that do not employ hearing officers, other administrative hearings as required or permitted by law, and alternative dispute resolution proceedings.

SOAH is headed by a Chief Administrative Law Judge (Chief ALJ), who is appointed by the Governor and subject to Senate confirmation. SOAH is headquartered in Austin, and the majority of its Administrative Law Judges (ALJs), staff, and hearing rooms are located in the William P. Clements Building. SOAH also has field offices in Corpus Christi, Dallas, El Paso, Fort Worth, Houston, Lubbock, and San Antonio, and SOAH utilizes a number of remote sites, in cooperation with other governmental bodies, which are primarily used for the administration of administrative license revocation (ALR) hearings throughout the state.

SOAH's substantive work is divided by subject matter into teams:

1. Alternative Dispute Resolution (ADR);
2. Administrative License Revocation and Field Enforcement;
3. IDEA (Individuals with Disabilities Education Act);
4. Economic;
5. Health Professions Licensing;
6. Occupational Licensing;
7. Natural Resources;
8. Utilities; and
9. Tax.

#### SUMMARY

SOAH's work consists of conducting administrative hearings and ADR proceedings upon referral by a governmental body. SOAH serves more than 50 agencies in a typical year, most of which are state agencies. In FY 2017, SOAH disposed of more than 29,000 cases.

In order to project workload for the coming biennium, SOAH develops estimates for case referrals and casework hours for each referring agency, based on the past three years of data and other known factors. SOAH then provides this information to the referring agency and gives the agency the opportunity to provide its perspective on the projections. After collaborating with the referring agencies, SOAH finalizes its workload projections for the coming biennium based on all known information.

SOAH has three methods of funding: General Revenue (GR), Interagency Contract (IAC), and appropriated receipts (a small fund used solely for coordinating the purchase of transcripts). The majority of SOAH's IACs fall within Government Code section 2003.024, which provides for a lump-sum contract based on projected workload.

**Administrator's Statement**

8/7/2018 3:15:29PM

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

---

**360 State Office of Administrative Hearings**

---

**KEY ISSUES**

The projected volume of caseload referrals for 2020-2021 represents a modest increase over the current biennium. However, even when the ultimate caseload volume remains fairly consistent, the nature of SOAH's workload is constantly evolving. Recent historical experience indicates that a higher percentage of SOAH's referrals represent cases that are larger, more complex, and more challenging. This includes cases that may require the assignment of more than one ALJ due to sheer size and complexity, as well as cases with statutory deadlines that are more immediate and inflexible than a typical case under the Administrative Procedure Act.

A number of referring agencies fund SOAH's work via IAC. Government Code section 2003.024 sets out the process by which SOAH and the referring agency collaborate on projected workload, informed by historical data, and further provides for the execution of a lump-sum IAC. This IAC amount is a function of the anticipated workload and SOAH's hourly rate. Pursuant to the statute, the hourly rate is set by SOAH, must sufficiently cover SOAH's full costs, and is subject to legislative review through the LAR process. SOAH's appropriation for 2018-2019 provides that the hourly rate should be based on actual costs as determined by the most recently published Hearing Activity Report (HARP), with a cap of \$128. SOAH's most recent HARP, published May 1, 2018, puts SOAH's actual costs at \$150 per hour. Thus, SOAH is requesting this rate as a rider in its LAR and advised the referring agencies of the estimated hourly rate for 2020-2021. In the event the hourly rate of \$150 is modified in the rider, SOAH has requested a contingency exceptional item for General Revenue funding to cover the funding shortfall.

As noted in the agency's current and prior Strategic Plans, the modernization and professionalization of SOAH's information technology resources are a high priority, particularly as relates to preparation for successful implementation of an integrated case management system. SOAH was appropriated funds for an integrated case management system and plans to initiate the procurement during FY 2019. This system will constitute an automated solution for key functions at SOAH, including electronic filing and case management.

**BASE REDUCTION**

The agency's approach to preparing the ten-percent General Revenue reduction options centered on employee attrition, as employee salaries and wages constitute 84% of SOAH's total budget.

**EXEMPT POSITIONS**

The Chief ALJ is the only exempt position within SOAH. SOAH is not requesting to increase the number of such positions or otherwise seeking any change that would affect exempt position count.

**BACKGROUND CHECKS**

For attorney positions, SOAH verifies that applicants are in good standing with the State Bar of Texas. For information technology employees, SOAH exercises its authority to conduct criminal background checks, pursuant to Chapter 411 of the Texas Government Code. If applicable to the position, SOAH also checks an applicant's driving record at the point of hire and annually thereafter.

**Administrator's Statement**

8/7/2018 3:15:29PM

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

---

**360 State Office of Administrative Hearings**

---

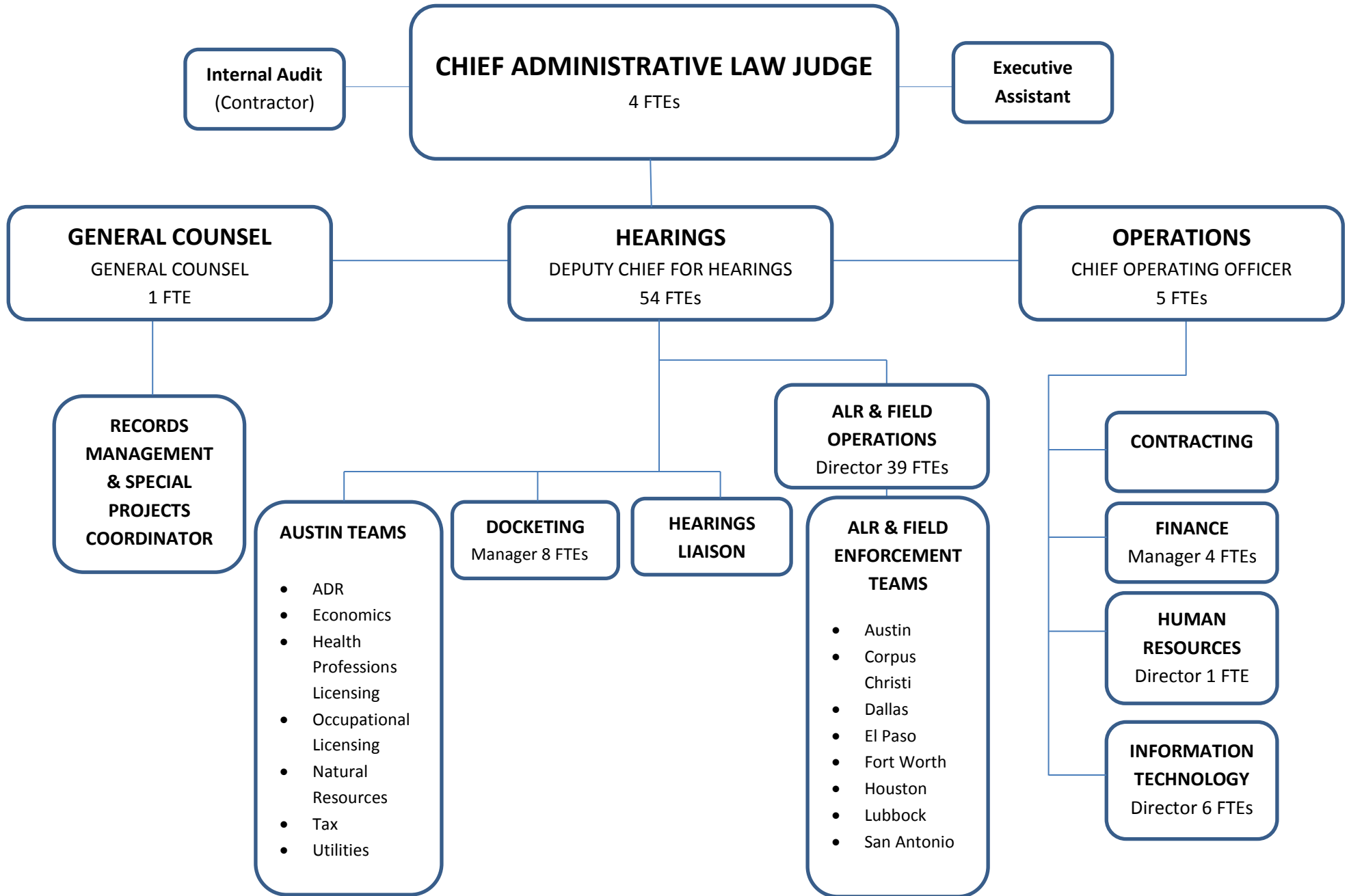
TRANSITION TO CAPPS

SOAH has already transitioned to the following modules in the State's Centralized Accounting and Payroll/Personnel System (CAPPS): Financials (September 2016), Human Resources/Payroll (July 2017), Performance Management (December 2017), and Recruit (May 2018). The next anticipated transition is to the Learn module, but the timeframe for that transition has not yet been established.



# STATE OFFICES OF ADMINISTRATIVE HEARINGS

## Organization Chart



# State Office of Administrative Hearings

## Functional Units

### General Counsel:

- Intergovernmental Relations
- Legal Activities of the Agency
- Legislative Matters
- Public Information Officer
- Records Management
- Spokesperson for Public and Media

### Hearings:

- Alternative Dispute Resolution
- Contested Case Hearings
- Docketing
- Field Operations

### Operations:

- Contracting, Purchasing, and HUB Coordinator
- Accounting and Budget
- Continuity of Operations Plan
- Human Resources
- Information Technology
- Internal Audit Oversight



# CERTIFICATE

**Agency Name:** STATE OFFICES OF ADMINISTRATIVE HEARINGS

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpected balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2018-19 GAA).

**Chief Executive Officer or Presiding Judge**

*[Signature]*  
Signature

Lesli Ginn  
Printed Name

Chief Administrative Law Judge  
Title

8/7/18  
Date

**Board or Commission Chair**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**Chief Financial Officer**

*[Signature]*  
Signature

Kimberly Rudish  
Printed Name

Chief Operating Officer  
Title

8/7/2018  
Date



**Budget Overview - Biennial Amounts**  
**86th Regular Session, Agency Submission, Version 1**  
Automated Budget and Evaluation System of Texas (ABEST)

360 State Office of Administrative Hearings  
Appropriation Years: 2020-21

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS	
	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2020-21	
<b>Goal: 1. Provide for a Fair and Efficient Administrative Hearings Process</b>												
1.1.1. Conduct Hearings	13,157,580	11,763,132					7,966,159	8,128,612	21,123,739	19,891,744	1,417,416	
1.2.1. Conduct Alt Dispute Resolution	278,298	297,162					182,723	201,306	461,021	498,468		
<b>Total, Goal</b>	<b>13,435,878</b>	<b>12,060,294</b>					<b>8,148,882</b>	<b>8,329,918</b>	<b>21,584,760</b>	<b>20,390,212</b>	<b>1,417,416</b>	
<b>Goal: 2. Indirect Administration</b>												
2.1.1. Indirect Administration	2,125,252	2,205,836					1,578,414	1,494,282	3,703,666	3,700,118		
<b>Total, Goal</b>	<b>2,125,252</b>	<b>2,205,836</b>					<b>1,578,414</b>	<b>1,494,282</b>	<b>3,703,666</b>	<b>3,700,118</b>		
<b>Total, Agency</b>	<b>15,561,130</b>	<b>14,266,130</b>					<b>9,727,296</b>	<b>9,824,200</b>	<b>25,288,426</b>	<b>24,090,330</b>	<b>1,417,416</b>	
<b>Total FTEs</b>									<b>123.0</b>	<b>123.0</b>	<b>0.0</b>	

2.A. Summary of Base Request by Strategy

8/7/2018 3:15:30PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

360 State Office of Administrative Hearings

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<b>1</b> Provide for a Fair and Efficient Administrative Hearings Process					
<b>1</b> <i>Ensure that All Hearings are Conducted in a Fair and Impartial Manner</i>					
<b>1 CONDUCT HEARINGS</b>	9,484,935	9,600,943	11,522,796	9,945,872	9,945,872
<b>2</b> <i>Provide an Opportunity for Alternative Dispute Resolution Proceedings</i>					
<b>1 CONDUCT ALT DISPUTE RESOLUTION</b>	245,257	215,687	245,334	249,234	249,234
<b>TOTAL, GOAL</b> <b>1</b>	<b>\$9,730,192</b>	<b>\$9,816,630</b>	<b>\$11,768,130</b>	<b>\$10,195,106</b>	<b>\$10,195,106</b>
<b>2</b> Indirect Administration					
<b>1</b> <i>Indirect Administration</i>					
<b>1 INDIRECT ADMINISTRATION</b>	1,778,314	1,853,058	1,850,608	1,850,059	1,850,059
<b>TOTAL, GOAL</b> <b>2</b>	<b>\$1,778,314</b>	<b>\$1,853,058</b>	<b>\$1,850,608</b>	<b>\$1,850,059</b>	<b>\$1,850,059</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$11,508,506</b>	<b>\$11,669,688</b>	<b>\$13,618,738</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*</b>				<b>\$0</b>	<b>\$0</b>
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$11,508,506</b>	<b>\$11,669,688</b>	<b>\$13,618,738</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>

2.A. Summary of Base Request by Strategy

8/7/2018 3:15:30PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

360 State Office of Administrative Hearings

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>METHOD OF FINANCING:</u>					
<b>General Revenue Funds:</b>					
1 General Revenue Fund	7,217,127	6,924,484	8,636,646	7,133,065	7,133,065
<b>SUBTOTAL</b>	<b>\$7,217,127</b>	<b>\$6,924,484</b>	<b>\$8,636,646</b>	<b>\$7,133,065</b>	<b>\$7,133,065</b>
<b>Other Funds:</b>					
666 Appropriated Receipts	52,818	60,000	100,000	80,000	80,000
777 Interagency Contracts	4,238,561	4,685,204	4,882,092	4,832,100	4,832,100
<b>SUBTOTAL</b>	<b>\$4,291,379</b>	<b>\$4,745,204</b>	<b>\$4,982,092</b>	<b>\$4,912,100</b>	<b>\$4,912,100</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$11,508,506</b>	<b>\$11,669,688</b>	<b>\$13,618,738</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>

\*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance

8/7/2018 3:15:30PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<b><u>GENERAL REVENUE</u></b>					
<b><u>1</u> General Revenue Fund</b>					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2016-17 GAA)	\$7,461,384	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$7,141,646	\$7,141,646	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$0	\$7,133,065	\$7,133,065
<i>RIDER APPROPRIATION</i>					
Art IX, Sec 18.03 (b) Centralized Accounting and Payroll/Personnel Systems Deployments (2016-17)	\$125,559	\$0	\$0	\$0	\$0
Art IX, Sec 18.03 (e) Centralized Accounting and Payroll/Personnel Systems Deployments (2016-17)	\$302,941	\$0	\$0	\$0	\$0
Art. IX, Sec. 14.03(i), Limitation on Expenditures - Capital Budget (2016-17 GAA)	\$1,220,000	\$0	\$0	\$0	\$0

**2.B. Summary of Base Request by Method of Finance**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/7/2018 3:15:30PM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
---------------------	----------	----------	----------	----------	----------

**GENERAL REVENUE**

**Comments:** This UB is for the Case Management System.

Article VIII, Rider 9 UB and Capital Authority (2018-19 GAA)

	\$(1,420,000)	\$1,420,000	\$0	\$0	\$0
--	---------------	-------------	-----	-----	-----

**Comments:** This UB is for the Case Management System.

Article VIII, Rider 9 UB and Capital Authority (2018-19 GAA)

	\$0	\$(1,420,000)	\$1,420,000	\$0	\$0
--	-----	---------------	-------------	-----	-----

**Comments:** This UB is for the Case Management System.

Art. IX, Sec. 14.03(i), Limitation on Expenditures - Capital Budget (2018-19 GAA)

	\$0	\$(75,000)	\$75,000	\$0	\$0
--	-----	------------	----------	-----	-----

**Comments:** This UB is for the Case Management System.

Art. IX, Sec. 14.03(i), Limitation on Expenditures - Capital Budget (2016-17 GAA)

	\$5,956	\$0	\$0	\$0	\$0
--	---------	-----	-----	-----	-----

**Comments:** This UB is for PC Replacements

*TRANSFERS*

Art IX, Sec 18.02 Salary Increases for General State Employees (2016-17 GAA)

**2.B. Summary of Base Request by Method of Finance**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/7/2018 3:15:30PM

Agency code: <b>360</b>		Agency name: <b>State Office of Administrative Hearings</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2017</b>	<b>Est 2018</b>	<b>Bud 2019</b>	<b>Req 2020</b>	<b>Req 2021</b>
<b><u>GENERAL REVENUE</u></b>		\$167,234	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>						
Lapse Committed		\$(211,183)	\$(142,162)	\$0	\$0	\$0
Art IX, Sec 18.03 (e) Centralized Accounting and Payroll/Personnel Systems Deployments (2016-17		\$(186,467)	\$0	\$0	\$0	\$0
Savings due to Hiring Freeze		\$(248,297)	\$0	\$0	\$0	\$0
<b>TOTAL,</b>	<b>General Revenue Fund</b>	<b>\$7,217,127</b>	<b>\$6,924,484</b>	<b>\$8,636,646</b>	<b>\$7,133,065</b>	<b>\$7,133,065</b>
<b>TOTAL, ALL</b>	<b>GENERAL REVENUE</b>	<b>\$7,217,127</b>	<b>\$6,924,484</b>	<b>\$8,636,646</b>	<b>\$7,133,065</b>	<b>\$7,133,065</b>

**OTHER FUNDS**

**666** Appropriated Receipts

*REGULAR APPROPRIATIONS*

Regular Appropriations from MOF Table (2016-17 GAA)

**2.B. Summary of Base Request by Method of Finance**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/7/2018 3:15:30PM

Agency code: <b>360</b>		Agency name: <b>State Office of Administrative Hearings</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2017</b>	<b>Est 2018</b>	<b>Bud 2019</b>	<b>Req 2020</b>	<b>Req 2021</b>
<b><u>OTHER FUNDS</u></b>		\$120,000	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$100,000	\$100,000	\$0	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$0	\$80,000	\$80,000
<i>LAPSED APPROPRIATIONS</i>						
	Revenue Not Collected	\$(67,182)	\$(40,000)	\$0	\$0	\$0
<b>TOTAL,</b>	<b>Appropriated Receipts</b>	<b>\$52,818</b>	<b>\$60,000</b>	<b>\$100,000</b>	<b>\$80,000</b>	<b>\$80,000</b>
<b><u>777</u> Interagency Contracts</b>						
<i>REGULAR APPROPRIATIONS</i>						
	Regular Appropriations from MOF Table (2016-17 GAA)	\$3,431,567	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)					

2.B. Summary of Base Request by Method of Finance  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/7/2018 3:15:30PM

Agency code: 360	Agency name: State Office of Administrative Hearings				
METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<b><u>OTHER FUNDS</u></b>					
	\$0	\$4,390,852	\$4,390,852	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$0	\$4,832,100	\$4,832,100
<i>RIDER APPROPRIATION</i>					
Excess Collected Revenue, Article IX sec. 8.02(a) (2016-17 GAA)	\$528,258	\$0	\$0	\$0	\$0
Excess Collected Revenue, Article IX sec. 8.02(a) (2018-19 GAA)	\$0	\$401,597	\$491,240	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Savings due to Hiring Freeze	\$(158,747)	\$0	\$0	\$0	\$0
Lapse Collected	\$0	\$(107,245)	\$0	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>					
Art VIII, SOAH Rider 5 (2016-17 GAA)					



**2.B. Summary of Base Request by Method of Finance**

8/7/2018 3:15:30PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code:	<b>360</b>	Agency name:	<b>State Office of Administrative Hearings</b>			
<b>METHOD OF FINANCING</b>		<b>Exp 2017</b>	<b>Est 2018</b>	<b>Bud 2019</b>	<b>Req 2020</b>	<b>Req 2021</b>
<b><u>OTHER FUNDS</u></b>		\$437,483	\$0	\$0	\$0	\$0
<b>TOTAL, Interagency Contracts</b>		\$4,238,561	\$4,685,204	\$4,882,092	\$4,832,100	\$4,832,100
<b>TOTAL, ALL OTHER FUNDS</b>		\$4,291,379	\$4,745,204	\$4,982,092	\$4,912,100	\$4,912,100
<b>GRAND TOTAL</b>		\$11,508,506	\$11,669,688	\$13,618,738	\$12,045,165	\$12,045,165

**2.B. Summary of Base Request by Method of Finance**

8/7/2018 3:15:30PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>360</b>	Agency name: <b>State Office of Administrative Hearings</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2017</b>	<b>Est 2018</b>	<b>Bud 2019</b>	<b>Req 2020</b>	<b>Req 2021</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2016-17 GAA)	123.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	123.0	123.0	123.0	123.0
RIDER APPROPRIATION					
Art IX, Sec 18.03 Centralized Accounting and Payroll/Personnel Systems Development (2016-17 GAA)	1.0	0.0	0.0	0.0	0.0
LAPSED APPROPRIATIONS					
Vacancy Equivalent	(5.4)	(11.2)	0.0	0.0	0.0
Savings due to Hiring Freeze	(6.7)	0.0	0.0	0.0	0.0
<b>TOTAL, ADJUSTED FTES</b>	<b>111.9</b>	<b>111.8</b>	<b>123.0</b>	<b>123.0</b>	<b>123.0</b>
<b>NUMBER OF 100% FEDERALLY FUNDED FTEs</b>					

2.C. Summary of Base Request by Object of Expense  
86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

8/7/2018 3:15:30PM

**360 State Office of Administrative Hearings**

<b>OBJECT OF EXPENSE</b>	<b>Exp 2017</b>	<b>Est 2018</b>	<b>Bud 2019</b>	<b>BL 2020</b>	<b>BL 2021</b>
1001 SALARIES AND WAGES	\$8,740,513	\$8,703,913	\$9,595,261	\$9,745,751	\$9,745,751
1002 OTHER PERSONNEL COSTS	\$466,345	\$525,950	\$431,635	\$418,853	\$418,853
2001 PROFESSIONAL FEES AND SERVICES	\$45,822	\$133,164	\$91,750	\$45,000	\$45,000
2003 CONSUMABLE SUPPLIES	\$22,031	\$30,364	\$36,300	\$31,408	\$31,408
2004 UTILITIES	\$82,866	\$97,807	\$157,133	\$157,133	\$157,133
2005 TRAVEL	\$98,333	\$100,185	\$108,790	\$100,868	\$100,868
2006 RENT - BUILDING	\$271,723	\$297,686	\$320,500	\$330,968	\$330,968
2007 RENT - MACHINE AND OTHER	\$17,683	\$32,388	\$30,254	\$33,750	\$33,750
2009 OTHER OPERATING EXPENSE	\$1,694,138	\$1,670,477	\$1,277,115	\$1,181,434	\$1,181,434
5000 CAPITAL EXPENDITURES	\$69,052	\$77,754	\$1,570,000	\$0	\$0
<b>OOE Total (Excluding Riders)</b>	<b>\$11,508,506</b>	<b>\$11,669,688</b>	<b>\$13,618,738</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>
<b>OOE Total (Riders)</b>					
<b>Grand Total</b>	<b>\$11,508,506</b>	<b>\$11,669,688</b>	<b>\$13,618,738</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>

**2.D. Summary of Base Request Objective Outcomes**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

8/7/2018 3:15:30PM

---

**360 State Office of Administrative Hearings**

---

Goal/ Objective / Outcome	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1 Provide for a Fair and Efficient Administrative Hearings Process					
1 <i>Ensure that All Hearings are Conducted in a Fair and Impartial Manner</i>					
<b>KEY</b> <b>1 Percentage of Participants Surveyed Satisfied with Overall Process</b>					
	88.49%	92.00%	92.00%	92.00%	92.00%
<b>KEY</b> <b>2 % of Proposed Tax Decisions Issued within 60 Days of Record Closing</b>					
	100.00%	100.00%	100.00%	100.00%	100.00%
2 <i>Provide an Opportunity for Alternative Dispute Resolution Proceedings</i>					
<b>KEY</b> <b>1 Percentage of Participants Surveyed Satisfied with Overall ADR Process</b>					
	97.00%	94.00%	94.00%	94.00%	94.00%

**2.E. Summary of Exceptional Items Request**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/7/2018  
 TIME : 3:15:30PM

Agency code: 360

Agency name: State Office of Administrative Hearings

Priority	Item	2020			2021			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	GR Subsidy to IAC	\$708,708	\$708,708		\$708,708	\$708,708		\$1,417,416	\$1,417,416
<b>Total, Exceptional Items Request</b>		<b>\$708,708</b>	<b>\$708,708</b>		<b>\$708,708</b>	<b>\$708,708</b>		<b>\$1,417,416</b>	<b>\$1,417,416</b>

**Method of Financing**

General Revenue	\$708,708	\$708,708		\$708,708	\$708,708		\$1,417,416	\$1,417,416
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	<b>\$708,708</b>	<b>\$708,708</b>		<b>\$708,708</b>	<b>\$708,708</b>		<b>\$1,417,416</b>	<b>\$1,417,416</b>

**Full Time Equivalent Positions**

**Number of 100% Federally Funded FTEs**

**2.F. Summary of Total Request by Strategy**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/7/2018  
 TIME : 3:15:31PM

Agency code: 360 Agency name: State Office of Administrative Hearings

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
<b>1</b> Provide for a Fair and Efficient Administrative Hearings Process						
1 <i>Ensure that All Hearings are Conducted in a Fair and Impartial Ma</i>						
1 CONDUCT HEARINGS	\$9,945,872	\$9,945,872	\$708,708	\$708,708	\$10,654,580	\$10,654,580
2 <i>Provide an Opportunity for Alternative Dispute Resolution Proceedi</i>						
1 CONDUCT ALT DISPUTE RESOLUTION	249,234	249,234	0	0	249,234	249,234
<b>TOTAL, GOAL 1</b>	<b>\$10,195,106</b>	<b>\$10,195,106</b>	<b>\$708,708</b>	<b>\$708,708</b>	<b>\$10,903,814</b>	<b>\$10,903,814</b>
<b>2</b> Indirect Administration						
1 <i>Indirect Administration</i>						
1 INDIRECT ADMINISTRATION	1,850,059	1,850,059	0	0	1,850,059	1,850,059
<b>TOTAL, GOAL 2</b>	<b>\$1,850,059</b>	<b>\$1,850,059</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,850,059</b>	<b>\$1,850,059</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>	<b>\$708,708</b>	<b>\$708,708</b>	<b>\$12,753,873</b>	<b>\$12,753,873</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST</b>						
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>	<b>\$708,708</b>	<b>\$708,708</b>	<b>\$12,753,873</b>	<b>\$12,753,873</b>

**2.F. Summary of Total Request by Strategy**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/7/2018

TIME : 3:15:31PM

Agency code: 360 Agency name: State Office of Administrative Hearings

<b>Goal/Objective/STRATEGY</b>	<b>Base 2020</b>	<b>Base 2021</b>	<b>Exceptional 2020</b>	<b>Exceptional 2021</b>	<b>Total Request 2020</b>	<b>Total Request 2021</b>
<b>General Revenue Funds:</b>						
1 General Revenue Fund	\$7,133,065	\$7,133,065	\$708,708	\$708,708	\$7,841,773	\$7,841,773
	<b>\$7,133,065</b>	<b>\$7,133,065</b>	<b>\$708,708</b>	<b>\$708,708</b>	<b>\$7,841,773</b>	<b>\$7,841,773</b>
<b>Other Funds:</b>						
666 Appropriated Receipts	80,000	80,000	0	0	80,000	80,000
777 Interagency Contracts	4,832,100	4,832,100	0	0	4,832,100	4,832,100
	<b>\$4,912,100</b>	<b>\$4,912,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,912,100</b>	<b>\$4,912,100</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>	<b>\$708,708</b>	<b>\$708,708</b>	<b>\$12,753,873</b>	<b>\$12,753,873</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>123.0</b>	<b>123.0</b>	<b>0.0</b>	<b>0.0</b>	<b>123.0</b>	<b>123.0</b>

**2.G. Summary of Total Request Objective Outcomes**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/7/2018  
 Time: 3:15:31PM

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

Goal/ Objective / Outcome

		<b>BL 2020</b>	<b>BL 2021</b>	<b>Excp 2020</b>	<b>Excp 2021</b>	<b>Total Request 2020</b>	<b>Total Request 2021</b>
1	Provide for a Fair and Efficient Administrative Hearings Process						
1	<i>Ensure that All Hearings are Conducted in a Fair and Impartial Manner</i>						
<b>KEY</b>	<b>1 Percentage of Participants Surveyed Satisfied with Overall Process</b>						
		92.00%	92.00%			92.00%	92.00%
<b>KEY</b>	<b>2 % of Proposed Tax Decisions Issued within 60 Days of Record Closing</b>						
		100.00%	100.00%			100.00%	100.00%
2	<i>Provide an Opportunity for Alternative Dispute Resolution Proceedings</i>						
<b>KEY</b>	<b>1 Percentage of Participants Surveyed Satisfied with Overall ADR Process</b>						
		94.00%	94.00%			94.00%	94.00%



**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner  
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

Service Categories:

Service: 01      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
<b>Output Measures:</b>						
KEY 1	Number of Hours Billed (General Docket Hearings and ALR Hearings)	79,684.75	71,155.00	80,708.00	80,400.00	80,400.00
KEY 2	Number of Administrative License Revocation Cases Disposed	23,201.00	19,883.00	25,000.00	25,000.00	25,000.00
KEY 3	Number of General Docket Cases Disposed	6,079.00	5,400.00	4,500.00	3,900.00	3,900.00
KEY 4	Percent of Available Administrative Law Judge Time Spent on Case Work	82.56 %	79.00 %	75.00 %	75.00 %	75.00 %
KEY 5	Percent of Case Time Spent on General Docket (Non-ALR) Cases	67.56 %	68.00 %	66.60 %	66.00 %	66.00 %
KEY 6	# of Proposals for Decision Related to Tax Hearings Issued by ALJs	441.00	400.00	400.00	400.00	400.00
<b>Efficiency Measures:</b>						
KEY 1	Average # of Days from Close of Record to PFD Issuance	19.71	18.20	30.00	40.00	40.00
KEY 2	Median Number of Days to Dispose Case	80.00	80.00	75.00	75.00	75.00
KEY 3	Avg Days to Issue Proposed Tax Decision Following Record Closing	11.94	21.00	9.00	9.00	9.00
<b>Explanatory/Input Measures:</b>						

**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner  
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

Service Categories:

Service: 01      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
KEY 1	Number of Administrative License Revocation Cases Received	21,291.00	31,692.00	30,000.00	25,300.00	25,300.00
KEY 2	Number of General Docket Cases Received	5,937.00	5,469.00	4,125.00	3,900.00	3,900.00
KEY 3	Number of Agencies Served	54.00	50.00	50.00	50.00	50.00
4	Number of Complaints Received Regarding Hearing Process	6.00	5.00	5.00	5.00	5.00
5	Percent of PFDs Changed, Vacated or Modified by Governing Boards	5.77%	5.00%	5.00%	5.00%	5.00%
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$7,267,353	\$7,081,272	\$7,844,415	\$7,954,132	\$7,954,132
1002	OTHER PERSONNEL COSTS	\$398,944	\$457,915	\$361,965	\$350,000	\$350,000
2001	PROFESSIONAL FEES AND SERVICES	\$39,466	\$66,695	\$27,000	\$35,000	\$35,000
2003	CONSUMABLE SUPPLIES	\$19,136	\$28,848	\$35,000	\$30,000	\$30,000
2004	UTILITIES	\$78,486	\$94,783	\$150,000	\$150,000	\$150,000
2005	TRAVEL	\$92,795	\$91,238	\$100,000	\$92,000	\$92,000
2006	RENT - BUILDING	\$271,594	\$297,460	\$320,000	\$330,605	\$330,605
2007	RENT - MACHINE AND OTHER	\$17,683	\$28,638	\$26,504	\$30,000	\$30,000
2009	OTHER OPERATING EXPENSE	\$1,249,598	\$1,376,340	\$1,087,912	\$974,135	\$974,135
5000	CAPITAL EXPENDITURES	\$49,880	\$77,754	\$1,570,000	\$0	\$0

**3.A. Strategy Request**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/7/2018 3:15:31PM

**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner  
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

Service Categories:  
 Service: 01      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$9,484,935</b>	<b>\$9,600,943</b>	<b>\$11,522,796</b>	<b>\$9,945,872</b>	<b>\$9,945,872</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$5,924,879	\$5,690,601	\$7,466,979	\$5,881,566	\$5,881,566
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$5,924,879</b>	<b>\$5,690,601</b>	<b>\$7,466,979</b>	<b>\$5,881,566</b>	<b>\$5,881,566</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$52,818	\$60,000	\$100,000	\$80,000	\$80,000
777	Interagency Contracts	\$3,507,238	\$3,850,342	\$3,955,817	\$3,984,306	\$3,984,306
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$3,560,056</b>	<b>\$3,910,342</b>	<b>\$4,055,817</b>	<b>\$4,064,306</b>	<b>\$4,064,306</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$9,945,872</b>	<b>\$9,945,872</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$9,484,935</b>	<b>\$9,600,943</b>	<b>\$11,522,796</b>	<b>\$9,945,872</b>	<b>\$9,945,872</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>93.1</b>	<b>90.5</b>	<b>101.0</b>	<b>101.0</b>	<b>101.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner Service Categories:  
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
------	-------------	----------	----------	----------	---------	---------

Tex. Gov't Code § 2003.021(b) requires SOAH to conduct all administrative hearings in contested cases under Gov't Code ch. 2001 for state agencies that do not employ hearings officers; requires SOAH to conduct administrative hearings in matters for which SOAH is required to conduct the hearing under other law; and authorizes SOAH to conduct for a fee and under a contract administrative hearings in matters voluntarily referred to SOAH by a governmental entity. In addition, Tex. Transp. Code chs. 522, 524, and 724 require SOAH to conduct administrative license revocation (ALR) hearings to determine whether a person's driver's license should be administratively suspended or denied because the person had an intoxication level above the legal limit while driving or boating or because the person refused to submit to a breath or blood test to determine intoxication. The ALR hearings comprise approximately 33% of SOAH's casework. The strategy includes the docketing section's responsibilities to receive agencies' requests to initiate cases before SOAH; receive and distribute pleadings; open, maintain, and close all SOAH case files; schedule hearings rooms; and prepare daily hearing dockets. Docketing is SOAH's direct link with all referring agencies and other parties involved in pending cases. Additional duties include capturing data SOAH uses to calculate performance measures.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

SOAH's hearings workload and the related budgetary requirements are directly related to the cases filed with SOAH by approximately 50 state agencies and governmental entities within SOAH's jurisdiction. The hearings requirements may be affected by population or economic growth, changes in the referring agencies' regulatory authority, increases in referring agencies' funding for enforcement or regulation, and the effectiveness of public education or awareness programs, for example, on the dangers of driving while intoxicated.

**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner  
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

Service Categories:  
 Service: 01      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
------	-------------	----------	----------	----------	---------	---------

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$21,123,739	\$19,891,744	\$(1,231,995)	\$(1,420,000)	Case Management System procurement (GR)
			\$(165,651)	Hearing Room upgrades (GR, IAC)
			\$(85,095)	Hurricane Harvey related expenses (GR)
			\$(21,139)	Office expansion (GR, IAC)
			\$(442,943)	IT related services (GR, IAC)
			\$985,736	Salary savings from 10.5 FTEs (GR,IAC)
			\$70,140	20-21 Rent increase (GR, IAC)
			\$(208,250)	Cyber Security service (GR, IAC)
			\$55,207	Utilities increase (GR, IAC)
			<b>\$(1,231,995)</b>	<b>Total of Explanation of Biennial Change</b>

**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 2 Provide an Opportunity for Alternative Dispute Resolution Proceedings Service Categories:  
 STRATEGY: 1 Conduct Alternative Dispute Resolution Proceedings Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
<b>Output Measures:</b>						
	1 Number of Hours Billed to Alternative Dispute Resolution Cases	1,341.75	2,475.00	2,000.00	2,000.00	2,000.00
	2 Number of Cases Resolved through Alternative Dispute Resolution	62.00	62.00	100.00	100.00	100.00
<b>Efficiency Measures:</b>						
KEY 1	Median Number of Days to Dispose Alternative Dispute Resolution Cases	122.00	56.00	90.00	90.00	90.00
<b>Explanatory/Input Measures:</b>						
KEY 1	Number of Alternative Dispute Resolution Cases Requested or Referred	98.00	143.00	110.00	110.00	110.00
	2 Number of Balance Billing Cases Referred for Assignment of Mediator	140.00	243.00	250.00	250.00	250.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$234,015	\$204,539	\$234,015	\$238,000	\$238,000
1002	OTHER PERSONNEL COSTS	\$4,670	\$4,003	\$4,670	\$4,337	\$4,337
2005	TRAVEL	\$1,515	\$1,992	\$1,790	\$1,891	\$1,891
2009	OTHER OPERATING EXPENSE	\$5,057	\$5,153	\$4,859	\$5,006	\$5,006

**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 2 Provide an Opportunity for Alternative Dispute Resolution Proceedings Service Categories:  
 STRATEGY: 1 Conduct Alternative Dispute Resolution Proceedings Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$245,257</b>	<b>\$215,687</b>	<b>\$245,334</b>	<b>\$249,234</b>	<b>\$249,234</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$192,047	\$128,644	\$149,654	\$148,581	\$148,581
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$192,047</b>	<b>\$128,644</b>	<b>\$149,654</b>	<b>\$148,581</b>	<b>\$148,581</b>
<b>Method of Financing:</b>						
777	Interagency Contracts	\$53,210	\$87,043	\$95,680	\$100,653	\$100,653
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$53,210</b>	<b>\$87,043</b>	<b>\$95,680</b>	<b>\$100,653</b>	<b>\$100,653</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$249,234</b>	<b>\$249,234</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$245,257</b>	<b>\$215,687</b>	<b>\$245,334</b>	<b>\$249,234</b>	<b>\$249,234</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>2.0</b>	<b>1.8</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**360 State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process  
 OBJECTIVE: 2 Provide an Opportunity for Alternative Dispute Resolution Proceedings Service Categories:  
 STRATEGY: 1 Conduct Alternative Dispute Resolution Proceedings Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
------	-------------	----------	----------	----------	---------	---------

Tex. Gov't Code § 2003.021(b) requires SOAH to conduct alternative dispute resolution procedures that SOAH is required to conduct under law, and it authorizes SOAH to conduct for a fee and under a contract dispute resolution procedures in matters voluntarily referred to SOAH by a governmental entity. ADR comprises a variety of processes, ranging from formal arbitration to informal mediation, intended to resolve disputes through agreement of the parties. The form of ADR used most frequently at SOAH, mediation, is a confidential form of ADR that offers parties an opportunity to resolve their disputes without having an administrative hearing. In mediation, an impartial SOAH ALJ trained in mediation facilitates effective communication between the parties and helps them explore settlement options. By resolving disputes through mediation, the parties control the outcome and often save considerable effort and expense. The strategy also provides adequate support for ADR services.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

SOAH's hearings and ADR workload and the related budgetary requirements are directly related to the cases filed with SOAH. Also, notwithstanding the assignment of qualified and experienced ALJs to conduct mediations, the proceedings may not always result in a successful settlement.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$461,021	\$498,468	\$37,447	\$37,447	Salary savings from .2 FTEs (GR, IAC)
			<u>\$37,447</u>	<b>Total of Explanation of Biennial Change</b>



**360 State Office of Administrative Hearings**

GOAL: 2 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Indirect Administration

Service Categories:

Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,239,145	\$1,418,102	\$1,516,831	\$1,553,619	\$1,553,619
1002	OTHER PERSONNEL COSTS	\$62,731	\$64,032	\$65,000	\$64,516	\$64,516
2001	PROFESSIONAL FEES AND SERVICES	\$6,356	\$66,469	\$64,750	\$10,000	\$10,000
2003	CONSUMABLE SUPPLIES	\$2,895	\$1,516	\$1,300	\$1,408	\$1,408
2004	UTILITIES	\$4,380	\$3,024	\$7,133	\$7,133	\$7,133
2005	TRAVEL	\$4,023	\$6,955	\$7,000	\$6,977	\$6,977
2006	RENT - BUILDING	\$129	\$226	\$500	\$363	\$363
2007	RENT - MACHINE AND OTHER	\$0	\$3,750	\$3,750	\$3,750	\$3,750
2009	OTHER OPERATING EXPENSE	\$439,483	\$288,984	\$184,344	\$202,293	\$202,293
5000	CAPITAL EXPENDITURES	\$19,172	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,778,314</b>	<b>\$1,853,058</b>	<b>\$1,850,608</b>	<b>\$1,850,059</b>	<b>\$1,850,059</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$1,100,201	\$1,105,239	\$1,020,013	\$1,102,918	\$1,102,918
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,100,201</b>	<b>\$1,105,239</b>	<b>\$1,020,013</b>	<b>\$1,102,918</b>	<b>\$1,102,918</b>
<b>Method of Financing:</b>						
777	Interagency Contracts	\$678,113	\$747,819	\$830,595	\$747,141	\$747,141

**360 State Office of Administrative Hearings**

GOAL: 2 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Indirect Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$678,113</b>	<b>\$747,819</b>	<b>\$830,595</b>	<b>\$747,141</b>	<b>\$747,141</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$1,850,059</b>	<b>\$1,850,059</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$1,778,314</b>	<b>\$1,853,058</b>	<b>\$1,850,608</b>	<b>\$1,850,059</b>	<b>\$1,850,059</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>16.8</b>	<b>19.5</b>	<b>20.0</b>	<b>20.0</b>	<b>20.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy provides SOAH with necessary administrative support in the areas of executive management, legal counsel, accounting, budgeting, billing, facilities management, information resources, human resources, payroll, employee benefits, and training. This area is also responsible for reporting agency information, including the legislatively mandated Hearings Activity Report and various special reports. These functions and reports are required and necessary for efficient and effective agency operations.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Agency growth, workload increases and modernization of information technology positively effects the level of resources needed to provide these required services.

**360 State Office of Administrative Hearings**

GOAL: 2 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Indirect Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
------	-------------	----------	----------	----------	---------	---------

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$3,703,666	\$3,700,118	\$(3,548)	\$4,109	Utilities increase (GR, IAC)
			\$(36,750)	Cyber Security service (GR, IAC)
			\$32,215	Salary savings from .5 FTEs (GR, IAC)
			\$(3,122)	Office expansion (GR, IAC)
			<u>\$(3,548)</u>	<b>Total of Explanation of Biennial Change</b>

---

**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$11,508,506</b>	<b>\$11,669,688</b>	<b>\$13,618,738</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>
<b>METHODS OF FINANCE (INCLUDING RIDERS):</b>				<b>\$12,045,165</b>	<b>\$12,045,165</b>
<b>METHODS OF FINANCE (EXCLUDING RIDERS):</b>	<b>\$11,508,506</b>	<b>\$11,669,688</b>	<b>\$13,618,738</b>	<b>\$12,045,165</b>	<b>\$12,045,165</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>111.9</b>	<b>111.8</b>	<b>123.0</b>	<b>123.0</b>	<b>123.0</b>

**3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE**  
85th Regular Session, Agency Submission, Version 1

<b>Agency Code: 360</b>		<b>Agency: State Office of Administrative Hearings</b>				<b>Prepared By: K Dudish</b>					
<b>Date: 8/7/2018</b>						<b>18-19</b>	<b>Requested</b>	<b>Requested</b>	<b>Biennial Total</b>	<b>Biennial Difference</b>	
<b>Goal</b>	<b>Goal Name</b>	<b>Strategy</b>	<b>Strategy Name</b>	<b>Program</b>	<b>Program Name</b>	<b>Base</b>	<b>2020</b>	<b>2021</b>	<b>20-21</b>	<b>\$</b>	<b>%</b>
1	Provide for a Fair and Efficient Administrative Hearings Process	1.1.1	Conduct Hearings and Prepare Proposals for Decisions and Final Orders			\$21,123,739	\$9,945,872	\$9,945,872	\$19,891,744	(\$1,231,995)	-5.8%
1	Provide for a Fair and Efficient Administrative Hearings Process	1.2.1	Conduct Alternative Dispute Resolution Proceedings			\$461,021	\$249,234	\$249,234	\$498,468	\$37,447	8.1%
2	Indirect Administration	2.1.1	Indirect Administration			\$3,703,666	\$1,850,059	\$1,850,059	\$3,700,118	(\$3,548)	-0.1%

### 3.B. Rider Revisions and Additions Request

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Kim Dudish	<b>Date:</b> 08/07/2018	<b>Request Level:</b> Base
----------------------------	--	-----------------------------------	----------------------------	-------------------------------

Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language																																																			
1.	VIII-2	<p><b>Performance Measure Targets.</b> The following is a listing of the key performance target levels for the State Office of Administrative Hearings. It is the intent of the Legislature that appropriations made by this Act be utilized in the most efficient and effective manner possible to achieve the intended mission of the State Office of Administrative Hearings. In order to achieve the objectives and service standards established by this Act, the State Office of Administrative Hearings shall make every effort to attain the following designated key performance target levels associated with each item of appropriation</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">A. Goal: ADMINISTRATIVE HEARINGS</th> <th style="text-align: center;"><u>2020</u> <del>2018</del></th> <th style="text-align: center;"><u>2021</u> <del>2019</del></th> </tr> </thead> <tbody> <tr> <td colspan="3"><b>Outcome (Results/Impact):</b></td> </tr> <tr> <td>Percentage of Participants Surveyed Expressing Satisfaction with Overall Process</td> <td style="text-align: center;">92%</td> <td style="text-align: center;">92%</td> </tr> <tr> <td>Percentage of Proposed Tax Decisions Related to Tax Hearings Issued by Administrative Law Judges within 60 Days of Record Closing</td> <td style="text-align: center;">100%</td> <td style="text-align: center;">100%</td> </tr> <tr> <td><u>Percentage of Participants Surveyed Satisfied with Overall ADR Process</u></td> <td style="text-align: center;"><u>92%</u></td> <td style="text-align: center;"><u>92%</u></td> </tr> <tr> <td colspan="3"><b>A.1.1. Strategy: CONDUCT HEARINGS</b></td> </tr> <tr> <td colspan="3"><b>Output (Volume):</b></td> </tr> <tr> <td>Number of Hours Billed (both for General Docket Hearings and Administrative License Revocation Hearings)</td> <td style="text-align: center;"><u>80,400</u> <del>80,708</del></td> <td style="text-align: center;"><u>80,400</u> <del>80,708</del></td> </tr> <tr> <td>Number of Administrative License Revocation Cases Disposed</td> <td style="text-align: center;"><u>25,000</u> <del>30,000</del></td> <td style="text-align: center;"><u>25,000</u> <del>30,000</del></td> </tr> <tr> <td>Number of General Docket Cases Disposed</td> <td style="text-align: center;"><u>3,900</u> <del>37,000</del></td> <td style="text-align: center;"><u>3,900</u> <del>37,000</del></td> </tr> <tr> <td>Percent of Available Administrative Law Judge Time Spent on Case Work</td> <td style="text-align: center;"><u>75%</u> <del>97%</del></td> <td style="text-align: center;"><u>75%</u> <del>97%</del></td> </tr> <tr> <td>Number of Proposals for Decision Related to Tax Hearings Issued by Administrative Law Judges</td> <td style="text-align: center;">400</td> <td style="text-align: center;">400</td> </tr> <tr> <td colspan="3"><b>Efficiencies:</b></td> </tr> <tr> <td>Average Number of Days from Close of Record to Issuance of Proposal for Decision or Final Order</td> <td></td> <td></td> </tr> <tr> <td>Issuance - Major Cases</td> <td style="text-align: center;"><u>40</u> <del>60</del></td> <td style="text-align: center;"><u>40</u> <del>60</del></td> </tr> <tr> <td>Median Number of Days to Dispose Case</td> <td style="text-align: center;">75</td> <td style="text-align: center;">75</td> </tr> <tr> <td>Average Length of Time (Days) Taken to Issue a Proposed Tax Decision Related to Tax Hearings Following Record Closing</td> <td style="text-align: center;">9</td> <td style="text-align: center;">9</td> </tr> </tbody> </table> <p><b>Explanatory:</b></p>	A. Goal: ADMINISTRATIVE HEARINGS	<u>2020</u> <del>2018</del>	<u>2021</u> <del>2019</del>	<b>Outcome (Results/Impact):</b>			Percentage of Participants Surveyed Expressing Satisfaction with Overall Process	92%	92%	Percentage of Proposed Tax Decisions Related to Tax Hearings Issued by Administrative Law Judges within 60 Days of Record Closing	100%	100%	<u>Percentage of Participants Surveyed Satisfied with Overall ADR Process</u>	<u>92%</u>	<u>92%</u>	<b>A.1.1. Strategy: CONDUCT HEARINGS</b>			<b>Output (Volume):</b>			Number of Hours Billed (both for General Docket Hearings and Administrative License Revocation Hearings)	<u>80,400</u> <del>80,708</del>	<u>80,400</u> <del>80,708</del>	Number of Administrative License Revocation Cases Disposed	<u>25,000</u> <del>30,000</del>	<u>25,000</u> <del>30,000</del>	Number of General Docket Cases Disposed	<u>3,900</u> <del>37,000</del>	<u>3,900</u> <del>37,000</del>	Percent of Available Administrative Law Judge Time Spent on Case Work	<u>75%</u> <del>97%</del>	<u>75%</u> <del>97%</del>	Number of Proposals for Decision Related to Tax Hearings Issued by Administrative Law Judges	400	400	<b>Efficiencies:</b>			Average Number of Days from Close of Record to Issuance of Proposal for Decision or Final Order			Issuance - Major Cases	<u>40</u> <del>60</del>	<u>40</u> <del>60</del>	Median Number of Days to Dispose Case	75	75	Average Length of Time (Days) Taken to Issue a Proposed Tax Decision Related to Tax Hearings Following Record Closing	9	9
A. Goal: ADMINISTRATIVE HEARINGS	<u>2020</u> <del>2018</del>	<u>2021</u> <del>2019</del>																																																			
<b>Outcome (Results/Impact):</b>																																																					
Percentage of Participants Surveyed Expressing Satisfaction with Overall Process	92%	92%																																																			
Percentage of Proposed Tax Decisions Related to Tax Hearings Issued by Administrative Law Judges within 60 Days of Record Closing	100%	100%																																																			
<u>Percentage of Participants Surveyed Satisfied with Overall ADR Process</u>	<u>92%</u>	<u>92%</u>																																																			
<b>A.1.1. Strategy: CONDUCT HEARINGS</b>																																																					
<b>Output (Volume):</b>																																																					
Number of Hours Billed (both for General Docket Hearings and Administrative License Revocation Hearings)	<u>80,400</u> <del>80,708</del>	<u>80,400</u> <del>80,708</del>																																																			
Number of Administrative License Revocation Cases Disposed	<u>25,000</u> <del>30,000</del>	<u>25,000</u> <del>30,000</del>																																																			
Number of General Docket Cases Disposed	<u>3,900</u> <del>37,000</del>	<u>3,900</u> <del>37,000</del>																																																			
Percent of Available Administrative Law Judge Time Spent on Case Work	<u>75%</u> <del>97%</del>	<u>75%</u> <del>97%</del>																																																			
Number of Proposals for Decision Related to Tax Hearings Issued by Administrative Law Judges	400	400																																																			
<b>Efficiencies:</b>																																																					
Average Number of Days from Close of Record to Issuance of Proposal for Decision or Final Order																																																					
Issuance - Major Cases	<u>40</u> <del>60</del>	<u>40</u> <del>60</del>																																																			
Median Number of Days to Dispose Case	75	75																																																			
Average Length of Time (Days) Taken to Issue a Proposed Tax Decision Related to Tax Hearings Following Record Closing	9	9																																																			

### 3.B. Rider Revisions and Additions Request (continued)

		Number of <u>Administrative License Revocation</u> Cases Received	<u>25,300</u>	<del>34,125</del>		<u>25,300</u>	<del>34,125</del>
		<u>Number of General Docket Cases Received</u>					<u>3,900</u>
		Number of Agencies Served					50
		<b>A.2.1. Strategy:</b>					
		CONDUCT ALT DISPUTE RESOLUTION					
		<b><u>Efficiencies:</u></b>					
		<u>Median Number of Days to Dispose Alternative Dispute Resolution Cases</u>					<u>90</u>
		<b><u>Explanatory:</u></b>					
		Number of Alternative Dispute Resolution Cases Requested or Referred					110
		<i>This rider has been updated to reflect the years for this appropriation request and budget structure changes.</i>					

**3.B. Rider Revisions and Additions Request  
(continued)**

2.	VIII-2,3	<p><b>Capital Budget.</b> None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in the provision as appropriations either for "Lease Payments to the Master Equipment Purchase Program" or for items with an "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code §1232.103.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center; width: 10%;"><del>2020</del></th> <th style="text-align: center; width: 10%;"><del>2018</del></th> <th style="text-align: center; width: 10%;"><del>2021</del></th> <th style="text-align: center; width: 10%;"><del>2019</del></th> </tr> </thead> <tbody> <tr> <td colspan="5">a. Acquisition of Information Resource Technologies</td> </tr> <tr> <td>    (1) Integrated case management, case filing, and timekeeping system</td> <td style="text-align: right;">\$</td> <td style="text-align: right;">75,000</td> <td style="text-align: right;">\$</td> <td style="text-align: right;">75,000</td> </tr> <tr> <td>    (2) PC Replacements</td> <td style="text-align: right;">\$</td> <td style="text-align: right;"><u>40,000</u></td> <td style="text-align: right;"><del>\$ 30,100</del></td> <td style="text-align: right;"><del>\$ 30,100</del></td> </tr> <tr> <td>Total, Acquisition of Information Resource Technologies</td> <td style="text-align: right;">\$</td> <td style="text-align: right;"><u>115,000</u></td> <td style="text-align: right;"><del>\$ 105,100</del></td> <td style="text-align: right;"><del>\$ 105,100</del></td> </tr> <tr> <td>    <u>(1) Data Center Services Disaster Recovery</u></td> <td style="text-align: right;">\$</td> <td style="text-align: right;"><u>51,929</u></td> <td style="text-align: right;"><del>\$ 46,016</del></td> <td style="text-align: right;"><del>\$ 16,676</del></td> </tr> <tr> <td>Total, Capital Budget</td> <td style="text-align: right;">\$</td> <td style="text-align: right;"><u>166,929</u></td> <td style="text-align: right;"><del>\$ 151,116</del></td> <td style="text-align: right;"><del>\$ 121,776</del></td> </tr> <tr> <td colspan="5">Method of Financing (Capital Budget):</td> </tr> <tr> <td>General Revenue Fund</td> <td style="text-align: right;">\$</td> <td style="text-align: right;"><u>166,929</u></td> <td style="text-align: right;"><del>\$ 129,792</del></td> <td style="text-align: right;"><del>\$ 105,100</del></td> </tr> <tr> <td>Interagency Contracts</td> <td></td> <td style="text-align: right;"><u>21,324</u></td> <td style="text-align: right;"><del>16,676</del></td> <td></td> </tr> <tr> <td>Total, Method of Financing</td> <td style="text-align: right;">\$</td> <td style="text-align: right;"><u>166,929</u></td> <td style="text-align: right;"><del>\$ 151,116</del></td> <td style="text-align: right;"><del>\$ 121,776</del></td> </tr> </tbody> </table> <p><i>This rider has been updated to reflect the appropriation request.</i></p>		<del>2020</del>	<del>2018</del>	<del>2021</del>	<del>2019</del>	a. Acquisition of Information Resource Technologies					(1) Integrated case management, case filing, and timekeeping system	\$	75,000	\$	75,000	(2) PC Replacements	\$	<u>40,000</u>	<del>\$ 30,100</del>	<del>\$ 30,100</del>	Total, Acquisition of Information Resource Technologies	\$	<u>115,000</u>	<del>\$ 105,100</del>	<del>\$ 105,100</del>	<u>(1) Data Center Services Disaster Recovery</u>	\$	<u>51,929</u>	<del>\$ 46,016</del>	<del>\$ 16,676</del>	Total, Capital Budget	\$	<u>166,929</u>	<del>\$ 151,116</del>	<del>\$ 121,776</del>	Method of Financing (Capital Budget):					General Revenue Fund	\$	<u>166,929</u>	<del>\$ 129,792</del>	<del>\$ 105,100</del>	Interagency Contracts		<u>21,324</u>	<del>16,676</del>		Total, Method of Financing	\$	<u>166,929</u>	<del>\$ 151,116</del>	<del>\$ 121,776</del>
	<del>2020</del>	<del>2018</del>	<del>2021</del>	<del>2019</del>																																																					
a. Acquisition of Information Resource Technologies																																																									
(1) Integrated case management, case filing, and timekeeping system	\$	75,000	\$	75,000																																																					
(2) PC Replacements	\$	<u>40,000</u>	<del>\$ 30,100</del>	<del>\$ 30,100</del>																																																					
Total, Acquisition of Information Resource Technologies	\$	<u>115,000</u>	<del>\$ 105,100</del>	<del>\$ 105,100</del>																																																					
<u>(1) Data Center Services Disaster Recovery</u>	\$	<u>51,929</u>	<del>\$ 46,016</del>	<del>\$ 16,676</del>																																																					
Total, Capital Budget	\$	<u>166,929</u>	<del>\$ 151,116</del>	<del>\$ 121,776</del>																																																					
Method of Financing (Capital Budget):																																																									
General Revenue Fund	\$	<u>166,929</u>	<del>\$ 129,792</del>	<del>\$ 105,100</del>																																																					
Interagency Contracts		<u>21,324</u>	<del>16,676</del>																																																						
Total, Method of Financing	\$	<u>166,929</u>	<del>\$ 151,116</del>	<del>\$ 121,776</del>																																																					



### 3.B. Rider Revisions and Additions Request (continued)

3.	VIII-3	<p><del><b>Renegotiation of Lump Sum Contract.</b> Appropriations made above in Strategy A.1.1, Conduct Hearings, include \$816,000 in fiscal year 2018<del>2020</del> and \$816,000 in fiscal year 2019<del>2021</del> in Interagency Contracts to fund the Natural Resources Division for the purpose of conducting hearings for the Texas Commission on Environmental Quality (TCEQ). The State Office of Administrative Hearings (SOAH) and TCEQ may not enter into a contract for an amount less than the specified amounts herein above. If SOAH determines, at the end of each fiscal year, that the amount paid under the contract exceeds the funding necessary for the Natural Resources Division, it shall refund the difference. If SOAH determines that these amounts are insufficient to fund the Natural Resources Division it may enter into negotiations with the TCEQ in order to renegotiate an interagency contract in a manner which will provide it with additional funds, provided that SOAH shall not be appropriated any state funds from such renegotiated interagency contract until it gives prior written notice to the Legislative Budget Board and the Governor, accompanied by written permission of the TCEQ.</del></p> <p><del>Appropriations made above to Strategy A.1.1, Conduct Hearings, include \$184,000 in fiscal year 2018<del>2020</del> and \$184,000 in fiscal year 2019<del>2021</del> in Interagency Contracts to fund SOAH for the purpose of conducting water and sewer utility case hearings for the Public Utility Commission of Texas (PUC). SOAH and PUC may not enter into a contract for an amount less than the specified amounts herein above. If SOAH determines, at the end of each fiscal year, that the amount paid under the contract exceeds the funding necessary for SOAH, it shall refund the difference. If SOAH determines that these amounts are insufficient to fund SOAH, it may enter into negotiations with the PUC in order to renegotiate an interagency contract in a manner which will provide it with additional funds, provided that SOAH shall not be appropriated any state funds from such renegotiated interagency contract until it gives prior written notice to the Legislative Budget Board and the Governor, accompanied by written permission of the PUC.</del></p> <p><i>This rider has been deleted to shift TCEQ and PUC water and sewer utility cases to IAC statute, Gov't Code 2003.024. Additional statutory changes are required.</i></p>
<u>3.4.</u>	VIII-3	<p><b>Benefit Collection.</b> Agencies that enter into contracts with the State Office of Administrative Hearings (SOAH), for the purpose of performing the hearings function, and make payments to SOAH from funding sources other than General Revenue, must reimburse SOAH for employee benefit costs for salaries and wages. These reimbursements to SOAH will then be paid to the General Revenue Fund in proportion to the source of funds from which the respective salary or wage is paid.</p> <p><i>No changes needed.</i></p>

### 3.B. Rider Revisions and Additions Request (continued)

<u>4.5.</u>	VIII-3	<p><b>Contingency Appropriation for Expanded Jurisdiction.</b> Contingent on the enactment of legislation by the Eighty-sixth <del>five</del> Legislature transferring the hearings functions of other state agencies to the State Office of Administrative Hearings (SOAH), or otherwise expanding the jurisdiction of the office, SOAH may expend funds transferred to the office from those agencies or funds appropriated for the purpose of handling the expanded jurisdiction, pursuant to provisions elsewhere in this Act. Appropriations authorized pursuant to this provision may be expended only to implement the transferred functions or expanded jurisdiction. All funds collected by SOAH as payment for, or reimbursement of, the office's costs of providing services to other state agencies or governmental entities, or others as directed by the Legislature, are appropriated to SOAH for use in expanded jurisdiction cases during the biennium. Any unexpended balances related to the transferring of hearing functions to SOAH or expanding jurisdiction of the office as of August 31, <del>2019</del><del>2018</del> are appropriated to the State Office of Administrative Hearings for the fiscal year beginning September 1, <del>2019</del><del>2018</del>.</p> <p><i>This rider has been updated to reflect the legislative session for this appropriation request.</i></p>
<u>5.6.</u>	VIII-3,4	<p><b>Hearings Activity Report.</b> By May 1st and November 1st of each fiscal year, the State Office of Administrative Hearings (SOAH) shall submit to the Legislative Budget Board and the Governor a report detailing hearings activity conducted during the prior two fiscal year quarters. The report shall indicate in a format prescribed by the Legislative Budget Board, for each agency served by method of finance, the projected and actual person hours allocated to the agency's cases and the cost, both direct and indirect, of conducting the hearings. The report shall also indicate in a format prescribed by the Legislative Budget Board, for each agency served, the projected and actual number of cases received, <del>the number of transcripts requested by Administrative Law Judges,</del> number of cases disposed of, the number of administrative fine cases disposed of and the median number of days between the date a case is received by SOAH and the date the case is finally disposed of, and any other information requested by the Legislative Budget Board during the reporting period.</p> <p><i>This rider has been updated to remove the untracked and immaterial (0-3 per year) reporting element of number of transcripts requested.</i></p>
<u>6.7.</u>	VIII-4	<p><b>Contingency for Additional Self-directed Semi-independent Agencies.</b> Contingent upon additional agencies becoming a self-directed, semi-independent (SDSI) agencies during the <del>2018-19</del> <u>2020-21</u> biennium, any agency becoming an SDSI agency that is listed in Rider <del>7</del> <u>8</u> shall be removed from the exemption granted in Rider <del>7</del> <u>8</u> below.</p> <p><i>This rider has been updated to reflect the appropriation years for this request and the renumbering of the riders.</i></p>

**3.B. Rider Revisions and Additions Request  
(continued)**

<p><u>7.8.</u></p>	<p>VIII-4, 5</p>	<p><b>Billing Rate for Workload.</b></p> <p>a. Unless otherwise provided, amounts appropriated above and elsewhere in this Act for funding for the payment of costs associated with administrative hearings conducted by the State Office of Administrative Hearings (SOAH) are based on an hourly rate of <del>\$150</del> <u>\$128</u> per hour for each hour of work performed during each fiscal year as reflected by the SOAH's Legislative Appropriation Request and Hearings Activity Report to the <del>Eighty-fifth</del> <u>sixth</u> Legislature.</p> <p><del>b. Notwithstanding other provisions in this Act, amounts for the payment of costs associated with administrative hearings conducted by SOAH for the Comptroller of Public Accounts shall be established through an interagency contract between the two agencies. The contract shall provide funding for hearings on tax issues conducted by Master Administrative Law Judge II's in a tax team within SOAH that have expertise in state tax.</del></p> <p><u>b e.</u> Amounts appropriated above in Strategy A.1.1, Conduct Hearings, to SOAH from the General Revenue Fund include funding in each year of the biennium for billable casework hours performed by SOAH for conducting administrative hearings at the rate determined by SOAH and approved by the Legislature for those agencies that do not have appropriations for paying SOAH costs for administrative hearings and are not subject to subsection (a) of this Section:</p>
--------------------	------------------	--

**3.B. Rider Revisions and Additions Request  
(continued)**

		<p>(1) Board of Chiropractic Examiners  (2) Texas State Board of Dental Examiners  (3) Funeral Service Commission  (4) Board of Professional Geoscientists  (5) Board of Professional Land Surveying  (6) Texas Medical Board  (7) Texas Board of Nursing  (8) Optometry Board  (9) Board of Pharmacy  (10) Executive Council of Physical Therapy and Occupational Therapy Examiners  (11) Board of Plumbing Examiners  (12) Board of Examiners of Psychologists  (13) Board of Veterinary Medical Examiners  (14) Secretary of State  (15) Securities Board  (16) Public Utility Commission of Texas  (17) Teacher Retirement System  (18) Pension Review Board  (19) Employees Retirement System  (20) Department of Housing and Community Affairs  (21) Texas Lottery Commission  (22) Department of Public Safety (Non-Administrative License Revocation Hearings)  (23) Texas Commission on Law Enforcement  (24) Commission on Fire Protection  (25) Department of Insurance (not including the Division of Workers' Compensation)  (26) Alcoholic Beverage Commission  (27) Racing Commission  (28) Department of Agriculture  (29) Department of Transportation  (30) Higher Education Coordinating Board  (31) Parks and Wildlife Department  (32) Department of Licensing and Regulation</p> <p><i>This rider has been updated to reflect the legislative session for this appropriation request, shift CPA to IAC statute Gov't Code 2003.024, and update the hourly rate in accordance with Gov't Code 2003.024(1).</i></p>
--	--	---

### 3.B. Rider Revisions and Additions Request (continued)

9	VIII-5	<p><del><b>Unexpended Balance and Capital Authority: Case Management System.</b> In addition to amounts appropriated above for the integrated case management system, case filing and timekeeping system capital budget item in Rider 2, Capital Budget, any unexpended balances remaining as of August 31, 2017 (estimated to be \$0), from appropriations made to the State Office of Administrative Hearings in Strategy A.1.1, Conduct Hearings, for the state fiscal biennium ending August 31, 2017 for the integrated case management system, case filing and timekeeping system capital budget project are appropriated for the same purpose in the state fiscal biennium beginning September 1, 2017.</del></p>
		<p><i>This rider has been deleted. Case Management System procurement is expected to be awarded by August 31, 2019.</i></p>

**4.A. Exceptional Item Request Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/7/2018**  
 TIME: **3:15:32PM**

Agency code: **360**

Agency name:  
**State Office of Administrative Hearings**

CODE	DESCRIPTION	Excp 2020	Excp 2021
------	-------------	-----------	-----------

	<b>Item Name:</b> General Revenue Subsidy of Hourly IAC Rate		
	<b>Item Priority:</b> 1		
	<b>IT Component:</b> No		
	<b>Anticipated Out-year Costs:</b> No		
	<b>Involve Contracts &gt; \$50,000:</b> No		
<b>Includes Funding for the Following Strategy or Strategies:</b>	01-01-01	Conduct Hearings and Prepare Proposals for Decisions and Final Orders	

**OBJECTS OF EXPENSE:**

2009	OTHER OPERATING EXPENSE	708,708	708,708
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$708,708</b>	<b>\$708,708</b>

**METHOD OF FINANCING:**

1	General Revenue Fund	708,708	708,708
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$708,708</b>	<b>\$708,708</b>

**DESCRIPTION / JUSTIFICATION:**

SOAH is requesting the hourly rate charged to IAC agencies to be increased from \$128 per hour to \$150 per hour by rider and in accordance with Gov't Code 2003.024(1). If the new rate is not approved, a subsidy from General Revenue will be needed to provide services to the public and referring agencies. In the event the \$150 is approved, this exceptional item will not be requested.

**EXTERNAL/INTERNAL FACTORS:**

SOAH's budget is based on projections of workload established in collaboration with referring agencies.

**PCLS TRACKING KEY:**

**4.B. Exceptional Items Strategy Allocation Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/7/2018**  
 TIME: **3:15:32PM**

Agency code: **360**                      Agency name: **State Office of Administrative Hearings**

Code	Description	Excp 2020	Excp 2021
<b>Item Name:</b>	General Revenue Subsidy of Hourly IAC Rate		
<b>Allocation to Strategy:</b>	1-1-1      Conduct Hearings and Prepare Proposals for Decisions and Final Orders		
<b>OBJECTS OF EXPENSE:</b>			
	2009      OTHER OPERATING EXPENSE	708,708	708,708
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>708,708</b>	<b>708,708</b>
<b>METHOD OF FINANCING:</b>			
	1      General Revenue Fund	708,708	708,708
<b>TOTAL, METHOD OF FINANCING</b>		<b>708,708</b>	<b>708,708</b>

**4.C. Exceptional Items Strategy Request**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**DATE: 8/7/2018**  
**TIME: 3:15:32PM**

Agency Code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process

OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner

Service Categories:

STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

Service: 01 Income: A.2 Age: B.3

<b>CODE DESCRIPTION</b>	<b>Excp 2020</b>	<b>Excp 2021</b>
-------------------------	------------------	------------------

**OBJECTS OF EXPENSE:**

2009 OTHER OPERATING EXPENSE	708,708	708,708
------------------------------	---------	---------

<b>Total, Objects of Expense</b>	<b>\$708,708</b>	<b>\$708,708</b>
----------------------------------	------------------	------------------

**METHOD OF FINANCING:**

1 General Revenue Fund	708,708	708,708
------------------------	---------	---------

<b>Total, Method of Finance</b>	<b>\$708,708</b>	<b>\$708,708</b>
---------------------------------	------------------	------------------

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

General Revenue Subsidy of Hourly IAC Rate



**5.A. Capital Budget Project Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/7/2018**  
 TIME : **3:15:32PM**

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2018**

**Bud 2019**

**BL 2020**

**BL 2021**

**5002 Construction of Buildings and Facilities**

*5/5 Hearing Room Refresh- Carpet*

**OBJECTS OF EXPENSE**

Capital

General	5000	CAPITAL EXPENDITURES		\$77,754	\$0	\$0	\$0
		Capital Subtotal OOE, Project	5	\$77,754	\$0	\$0	\$0
		Subtotal OOE, Project	5	<b>\$77,754</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TYPE OF FINANCING</b>							
<u>Capital</u>							
General	CA	1	General Revenue Fund	\$48,207	\$0	\$0	\$0
General	CA	777	Interagency Contracts	\$29,547	\$0	\$0	\$0
		Capital Subtotal TOF, Project	5	\$77,754	\$0	\$0	\$0
		Subtotal TOF, Project	5	<b>\$77,754</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		Capital Subtotal, Category	5002	\$77,754	\$0	\$0	\$0
		Informational Subtotal, Category	5002				
		<b>Total, Category</b>	<b>5002</b>	<b>\$77,754</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**5005 Acquisition of Information Resource Technologies**

*2/2 PC Replacement*

**OBJECTS OF EXPENSE**

Capital

General	2009	OTHER OPERATING EXPENSE		\$28,273	\$30,100	\$40,000	\$40,000
		Capital Subtotal OOE, Project	2	\$28,273	\$30,100	\$40,000	\$40,000

**5.A. Capital Budget Project Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/7/2018**  
 TIME : **3:15:32PM**

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

<b>OOE / TOF / MOF CODE</b>		<b>Est 2018</b>	<b>Bud 2019</b>	<b>BL 2020</b>	<b>BL 2021</b>
Subtotal OOE, Project 2		<b>\$28,273</b>	<b>\$30,100</b>	<b>\$40,000</b>	<b>\$40,000</b>
<b>TYPE OF FINANCING</b>					
<u>Capital</u>					
General	CA 1 General Revenue Fund	\$28,273	\$30,100	\$40,000	\$40,000
Capital Subtotal TOF, Project 2		\$28,273	\$30,100	\$40,000	\$40,000
Subtotal TOF, Project 2		<b>\$28,273</b>	<b>\$30,100</b>	<b>\$40,000</b>	<b>\$40,000</b>
<i>3/3 Integrated case management system, case filing, and timekeeping system</i>					
<b>OBJECTS OF EXPENSE</b>					
<u>Capital</u>					
General	2009 OTHER OPERATING EXPENSE	\$0	\$75,000	\$75,000	\$75,000
General	5000 CAPITAL EXPENDITURES	\$0	\$1,495,000	\$0	\$0
Capital Subtotal OOE, Project 3		\$0	\$1,570,000	\$75,000	\$75,000
Subtotal OOE, Project 3		<b>\$0</b>	<b>\$1,570,000</b>	<b>\$75,000</b>	<b>\$75,000</b>
<b>TYPE OF FINANCING</b>					
<u>Capital</u>					
General	CA 1 General Revenue Fund	\$0	\$1,570,000	\$75,000	\$75,000
Capital Subtotal TOF, Project 3		\$0	\$1,570,000	\$75,000	\$75,000
Subtotal TOF, Project 3		<b>\$0</b>	<b>\$1,570,000</b>	<b>\$75,000</b>	<b>\$75,000</b>
Capital Subtotal, Category 5005		\$28,273	\$1,600,100	\$115,000	\$115,000
Informational Subtotal, Category 5005					
<b>Total, Category 5005</b>		<b>\$28,273</b>	<b>\$1,600,100</b>	<b>\$115,000</b>	<b>\$115,000</b>

**5.A. Capital Budget Project Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/7/2018**  
 TIME : **3:15:32PM**

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2018**

**Bud 2019**

**BL 2020**

**BL 2021**

**7000 Data Center Consolidation**

*1/1 Data Center Services Disaster Recovery*

**OBJECTS OF EXPENSE**

Capital

General	2009	OTHER OPERATING EXPENSE		\$0	\$16,676	\$51,929	\$51,929	
Capital Subtotal OOE, Project				1	\$0	\$16,676	\$51,929	\$51,929
Subtotal OOE, Project				1	<b>\$0</b>	<b>\$16,676</b>	<b>\$51,929</b>	<b>\$51,929</b>
<b>TYPE OF FINANCING</b>								
<u>Capital</u>								
General	CA	1	General Revenue Fund		\$0	\$16,676	\$51,929	\$51,929
Capital Subtotal TOF, Project				1	\$0	\$16,676	\$51,929	\$51,929
Subtotal TOF, Project				1	<b>\$0</b>	<b>\$16,676</b>	<b>\$51,929</b>	<b>\$51,929</b>
Capital Subtotal, Category				7000	\$0	\$16,676	\$51,929	\$51,929
Informational Subtotal, Category				7000				
<b>Total, Category</b>				<b>7000</b>	<b>\$0</b>	<b>\$16,676</b>	<b>\$51,929</b>	<b>\$51,929</b>

**9000 Cybersecurity**

*4/4 Cyber Security related costs*

**OBJECTS OF EXPENSE**

Capital

General	1001	SALARIES AND WAGES		\$68,398	\$68,398	\$70,449	\$70,449
General	1002	OTHER PERSONNEL COSTS		\$2,880	\$2,880	\$2,880	\$2,880
General	2009	OTHER OPERATING EXPENSE		\$24,000	\$268,999	\$24,000	\$24,000

**5.A. Capital Budget Project Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/7/2018  
 TIME : 3:15:32PM

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2018**

**Bud 2019**

**BL 2020**

**BL 2021**

Capital Subtotal OOE, Project 4

\$95,278

\$340,277

\$97,329

\$97,329

Subtotal OOE, Project 4

**\$95,278**

**\$340,277**

**\$97,329**

**\$97,329**

**TYPE OF FINANCING**

Capital

General CA 1 General Revenue Fund

\$59,072

\$210,972

\$60,344

\$60,344

General CA 777 Interagency Contracts

\$36,206

\$129,305

\$36,985

\$36,985

Capital Subtotal TOF, Project 4

\$95,278

\$340,277

\$97,329

\$97,329

Subtotal TOF, Project 4

**\$95,278**

**\$340,277**

**\$97,329**

**\$97,329**

Capital Subtotal, Category 9000

\$95,278

\$340,277

\$97,329

\$97,329

Informational Subtotal, Category 9000

**Total, Category 9000**

**\$95,278**

**\$340,277**

**\$97,329**

**\$97,329**

**AGENCY TOTAL -CAPITAL**

**\$201,305**

**\$1,957,053**

**\$264,258**

**\$264,258**

**AGENCY TOTAL -INFORMATIONAL**

**AGENCY TOTAL**

**\$201,305**

**\$1,957,053**

**\$264,258**

**\$264,258**

**METHOD OF FINANCING:**

Capital

General 1 General Revenue Fund

\$135,552

\$1,827,748

\$227,273

\$227,273

General 777 Interagency Contracts

\$65,753

\$129,305

\$36,985

\$36,985

Total, Method of Financing-Capital

\$201,305

\$1,957,053

\$264,258

\$264,258

**Total, Method of Financing**

**\$201,305**

**\$1,957,053**

**\$264,258**

**\$264,258**

**5.A. Capital Budget Project Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/7/2018**  
 TIME : **3:15:32PM**

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2018**

**Bud 2019**

**BL 2020**

**BL 2021**

**TYPE OF FINANCING:**

Capital

General CA CURRENT APPROPRIATIONS

\$201,305

\$1,957,053

\$264,258

\$264,258

Total, Type of Financing-Capital

\$201,305

\$1,957,053

\$264,258

\$264,258

**Total, Type of Financing**

**\$201,305**

**\$1,957,053**

**\$264,258**

**\$264,258**

**6.A. Historically Underutilized Business Supporting Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/7/2018**  
 Time: **3:15:33PM**

Agency Code: **360** Agency: **State Office of Administrative Hearings**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

**A. Fiscal Year 2016 - 2017 HUB Expenditure Information**

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2016			Total Expenditures FY 2016		HUB Expenditures FY 2017			Total Expenditures FY 2017
			% Actual	Diff	Actual \$	FY 2016	% Goal	% Actual	Diff	Actual \$	FY 2017
11.2%	Heavy Construction	11.2 %	0.0%	-11.2%	\$0	\$0	11.2 %	0.0%	-11.2%	\$0	\$0
21.1%	Building Construction	21.1 %	0.0%	-21.1%	\$0	\$0	21.1 %	0.0%	-21.1%	\$0	\$0
32.9%	Special Trade	32.9 %	3.4%	-29.5%	\$420	\$12,188	32.9 %	0.0%	-32.9%	\$0	\$1,167
23.7%	Professional Services	23.7 %	0.0%	-23.7%	\$0	\$720	23.7 %	100.0%	76.3%	\$23,480	\$23,480
26.0%	Other Services	26.0 %	62.3%	36.3%	\$144,781	\$232,314	26.0 %	71.2%	45.2%	\$174,592	\$245,204
21.1%	Commodities	21.1 %	69.4%	48.3%	\$94,528	\$136,178	21.1 %	79.9%	58.8%	\$132,986	\$166,497
	<b>Total Expenditures</b>		<b>62.9%</b>		<b>\$239,729</b>	<b>\$381,400</b>		<b>75.9%</b>		<b>\$331,058</b>	<b>\$436,348</b>

**B. Assessment of Fiscal Year 2016 - 2017 Efforts to Meet HUB Procurement Goals**

**Attainment:**

Attainment:

SOAH attained or exceeded two of the applicable statewide HUB procurement goals in FY 2014. In FY 2015, the agency attained or exceeded three of the applicable statewide HUB procurement goals. However, SOAH far exceeded the statewide goals in the two categories in which it had the highest expenditures. An assessment of Fiscal Year 2014 - 2015 efforts to meet HUB procurement goals found that SOAH exceeded the statewide goals by 64.6% and 70% respectively.

**Applicability:**

Applicability:

The "Heavy Construction" and "Building Construction" categories were not applicable to agency operations in either FY 2014 or FY 2015 as SOAH did not have any strategies or programs related to construction.

**Factors Affecting Attainment:**

Factors Affecting Attainment:

The factors that affected attainment were a lack of HUB vendors for certain purchases unique to SOAH operations.

**"Good-Faith" Efforts:**

"Good-Faith" Efforts:

**6.A. Historically Underutilized Business Supporting Schedule**  
86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/7/2018**  
Time: **3:15:33PM**

---

Agency Code: **360** Agency: **State Office of Administrative Hearings**

SOAH's procurement practices reflect a good faith effort to achieve the goal of maximizing opportunities for HUB business in the state procurement process . The agency has a strong history of HUB usage and follows a strict purchasing guidelines and procedures. It continues to explore opportunities to identify HUB vendors. SOAH refers to the Texas Procurement and Support Services bidders and HUB lists for purchases to explore opportunities to identify HUB vendors . SOAH refers to the Texas Procurement and Support Services bidders and HUB lists for purchases and sends notification to vendors of bid opportunities with SOAH as they arise . Subject to budgetary constraints, SOAH's purchaser attends HUB forums when and where practical and SOAH participates in HUB work groups. SOAH will continue its efforts to increase HUB usage.

**6.B. Current Biennium Onetime Expenditure Schedule  
Summary of Onetime Expenditures**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>Projects</b>	<b>Estimated 2018</b>	<b>Budgeted 2019</b>	<b>Requested 2020</b>	<b>Requested 2021</b>
Integrated Case Management System	\$0	\$1,420,000	\$0	\$0
IT Related Services	\$442,943	\$0	\$0	\$0
Cyber Security	\$0	\$245,000	\$0	\$0
Hearing Room Refresh	\$93,834	\$0	\$0	\$0
Office Expansion	\$24,261	\$0	\$0	\$0
Audio Equipment Install	\$71,817	\$0	\$0	\$0
Hurricane Harvey Expenditures	\$85,095	\$0	\$0	\$0
<b>Total, All Projects</b>	<b>\$717,949</b>	<b>\$1,665,000</b>	<b>\$0</b>	<b>\$0</b>



**6.B. Current Biennium Onetime Expenditure Schedule  
Strategy Allocation from 2018-19 Biennium to 2020-21 Biennium**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>2018-19</b> <b>PROJECT:</b> Integrated Case Management System <b>ALLOCATION TO STRATEGY:</b>	<b>2020-21</b> <b>PROJECT:</b> <b>ALLOCATION TO STRATEGY:</b>
---	---

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2018	Budgeted 2019	Requested 2020	Requested 2021
<b>Object of Expense:</b>						
3006	5000	Capital Expenditures	\$0	\$1,420,000		
<b>Total, Object of Expense</b>			\$0	\$1,420,000	\$0	\$0
<b>Method of Financing:</b>						
3006	0001	General Revenue	\$0	\$1,420,000	\$0	\$0
<b>Total, Method of Financing</b>			\$0	\$1,420,000	\$0	\$0

**Project Description for the 2018-19 Biennium:**  
Procurement of the Integrated Case Management System.

**Project Description and Allocation Purpose for the 2020-21 Biennium:**

**6.B. Current Biennium Onetime Expenditure Schedule  
Strategy Allocation from 2018-19 Biennium to 2020-21 Biennium**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>2018-19</b> <b>PROJECT:</b> IT Related Services <b>ALLOCATION TO STRATEGY:</b>	<b>2020-21</b> <b>PROJECT:</b> <b>ALLOCATION TO STRATEGY:</b>
---	---

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2018	Budgeted 2019	Requested 2020	Requested 2021
<b>Object of Expense:</b>						
3006	2009	Other Operating Expenditures	\$442,943	\$0	\$0	\$0
<b>Total, Object of Expense</b>			\$442,943	\$0	\$0	\$0
<b>Method of Financing:</b>						
3006	0001	General Revenue	\$274,625	\$0	\$0	\$0
3006		Interagency Contracts	\$168,318			
<b>Total, Method of Financing</b>			\$442,943	\$0	\$0	\$0

**Project Description for the 2018-19 Biennium:**  
IT related services used to standardize processes and procedures in preparation for Case Management System procurement.

**Project Description and Allocation Purpose for the 2020-21 Biennium:**

**6.B. Current Biennium Onetime Expenditure Schedule  
Strategy Allocation from 2018-19 Biennium to 2020-21 Biennium**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>2018-19</b> <b>PROJECT:</b> Cyber Security <b>ALLOCATION TO STRATEGY:</b>	<b>2020-21</b> <b>PROJECT:</b> <b>ALLOCATION TO STRATEGY:</b>
--	---

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2018	Budgeted 2019	Requested 2020	Requested 2021
<b>Object of Expense:</b>						
3006	2009	Other Operating Expenditures		\$208,250	\$0	\$0
3009	2009	Other Operating Expenditures		\$36,750		
<b>Total, Object of Expense</b>			\$0	\$245,000	\$0	\$0
<b>Method of Financing:</b>						
3006	0001	General Revenue		\$129,115	\$0	\$0
3006		Interagency Contracts		\$79,135		
3009		General Revenue		\$22,785		
3009		Interagency		\$13,965		
<b>Total, Method of Financing</b>			\$0	\$245,000	\$0	\$0

**Project Description for the 2018-19 Biennium:**

Purchase Cyber Security Netwatch Managed Services in 2019.

**Project Description and Allocation Purpose for the 2020-21 Biennium:**

--

**6.B. Current Biennium Onetime Expenditure Schedule  
Strategy Allocation from 2018-19 Biennium to 2020-21 Biennium**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>2018-19</b> <b>PROJECT:</b> Hearing Room Refresh <b>ALLOCATION TO STRATEGY:</b>	<b>2020-21</b> <b>PROJECT:</b> <b>ALLOCATION TO STRATEGY:</b>
--	---

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2018	Budgeted 2019	Requested 2020	Requested 2021
<b>Object of Expense:</b>						
3006	2009	Other Operating Expenditures	\$16,080	\$0	\$0	\$0
3006	5000	Capital Expenditures	\$77,754			
<b>Total, Object of Expense</b>			\$93,834	\$0	\$0	\$0
<b>Method of Financing:</b>						
3006	0001	General Revenue	\$58,177	\$0	\$0	\$0
3006		Interagency Contracts	\$35,657			
<b>Total, Method of Financing</b>			\$93,834	\$0	\$0	\$0

**Project Description for the 2018-19 Biennium:**  
Refresh of all Austin hearing rooms, paint and carpet.

**Project Description and Allocation Purpose for the 2020-21 Biennium:**

**6.B. Current Biennium Onetime Expenditure Schedule  
Strategy Allocation from 2018-19 Biennium to 2020-21 Biennium**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>2018-19</b> <b>PROJECT:</b> Office Expansion <b>ALLOCATION TO STRATEGY:</b>	<b>2020-21</b> <b>PROJECT:</b> <b>ALLOCATION TO STRATEGY:</b>
--	---

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2018	Budgeted 2019	Requested 2020	Requested 2021
<b>Object of Expense:</b>						
3006	2009	Other Operating Expenditures	\$21,139	\$0	\$0	\$0
3009	2009	Other Operating Expenditures	\$3,122			
<b>Total, Object of Expense</b>			\$24,261	\$0	\$0	\$0
<b>Method of Financing:</b>						
3006	0001	General Revenue	\$13,106	\$0	\$0	\$0
3006		Interagency	\$8,033			
3009		General Revenue	\$1,935			
3009		Interagency	\$1,186			
<b>Total, Method of Financing</b>			\$24,261	\$0	\$0	\$0

**Project Description for the 2018-19 Biennium:**

This project is expanding the available working space within the existing Austin office in order to fill current vacant positions.

**Project Description and Allocation Purpose for the 2020-21 Biennium:**

--

**6.B. Current Biennium Onetime Expenditure Schedule  
Strategy Allocation from 2018-19 Biennium to 2020-21 Biennium**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>2018-19</b> <b>PROJECT:</b> Audio Equipment Install <b>ALLOCATION TO STRATEGY:</b>	<b>2020-21</b> <b>PROJECT:</b> <b>ALLOCATION TO STRATEGY:</b>
---	---

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2018	Budgeted 2019	Requested 2020	Requested 2021
<b>Object of Expense:</b>						
3006	2009	Other Operating Expenditures	\$71,817	\$0	\$0	\$0
<b>Total, Object of Expense</b>			\$71,817	\$0	\$0	\$0
<b>Method of Financing:</b>						
3006	0001	General Revenue	\$44,527	\$0	\$0	\$0
		Interagency Contracts	\$27,290			
<b>Total, Method of Financing</b>			\$71,817	\$0	\$0	\$0

**Project Description for the 2018-19 Biennium:**  
Installation of audio equipment for SOAH hearing rooms.

**Project Description and Allocation Purpose for the 2020-21 Biennium:**

**6.B. Current Biennium Onetime Expenditure Schedule  
Strategy Allocation from 2018-19 Biennium to 2020-21 Biennium**

<b>Agency Code:</b> 360	<b>Agency Name:</b> State Office of Administrative Hearings	<b>Prepared By:</b> Lisa Turner	<b>Date:</b> August 2018
----------------------------	--	------------------------------------	-----------------------------

<b>2018-19</b> <b>PROJECT:</b> Hurricane Harvey Expenditures <b>ALLOCATION TO STRATEGY:</b>	<b>2020-21</b> <b>PROJECT:</b> <b>ALLOCATION TO STRATEGY:</b>
---	---

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2018	Budgeted 2019	Requested 2020	Requested 2021
<b>Object of Expense:</b>						
3006	2003	Consumable Supplies	\$2,219	\$0	\$0	\$0
3006	2004	Utilities	\$1,657			
3006	2005	Travel	\$1,485			
3006	2006	Rent-Building	\$1,489			
3006	2007	Rent-Machine and Other	\$516			
3006	2009	Other Operating Expense	\$77,729			
<b>Total, Object of Expense</b>			\$85,095	\$0	\$0	\$0
<b>Method of Financing:</b>						
3006	0001	General Revenue	\$85,095	\$0	\$0	\$0
<b>Total, Method of Financing</b>			\$85,095	\$0	\$0	\$0

<b>Project Description for the 2018-19 Biennium:</b> Expenditures as reported for Hurricane Harvey
---

<b>Project Description and Allocation Purpose for the 2020-21 Biennium:</b>
---

**6.E. Estimated Revenue Collections Supporting Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **360** Agency name: **State Office of Administrative Hearings**

<b>FUND/ACCOUNT</b>	<b>Act 2017</b>	<b>Exp 2018</b>	<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>
<b><u>666</u> Appropriated Receipts</b>					
Beginning Balance (Unencumbered):	\$52,818	\$60,000	\$100,000	\$80,000	\$80,000
Estimated Revenue:					
<b>Ending Fund/Account Balance</b>	<b>\$52,818</b>	<b>\$60,000</b>	<b>\$100,000</b>	<b>\$80,000</b>	<b>\$80,000</b>

**REVENUE ASSUMPTIONS:**

Receipts for Transcripts are adjusted as anticipated for ALR hearings.

**CONTACT PERSON:**

Kim Dudish



**6.E. Estimated Revenue Collections Supporting Schedule**  
 86th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **360** Agency name: **State Office of Administrative Hearings**

<b>FUND/ACCOUNT</b>	<b>Act 2017</b>	<b>Exp 2018</b>	<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>
<u>777</u> <b>Interagency Contracts</b>					
Beginning Balance (Unencumbered):	\$4,238,561	\$4,685,204	\$4,882,092	\$4,832,100	\$4,832,100
Estimated Revenue:					
<b>Ending Fund/Account Balance</b>	<b>\$4,238,561</b>	<b>\$4,685,204</b>	<b>\$4,882,092</b>	<b>\$4,832,100</b>	<b>\$4,832,100</b>

**REVENUE ASSUMPTIONS:**

Revenue is based on projections received directly from referring agencies.

**CONTACT PERSON:**

Kim Dudish

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/7/2018  
Time: 3:15:33PM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

**1 First 2.5% - FTE Attrition**

**Category:** Across the Board Reductions

**Item Comment:** This reduction would delay the hiring of vacated positions in Hearings and Administration by six to twelve months. It is also anticipated that some vacated positions in Hearings could be hired in at a lower salary. Depending on positions vacated, agency referrals, and length of time left open, there could be an impact on SOAH's performance measures and a result in a delay in hearing and closing cases. A reduction in Hearings support and Administration will reflect in a corresponding reduction in ALJ efficiency and timeliness.

Strategy: 1-1-1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$167,590	\$167,590	\$335,180	\$5,923,297	\$5,923,297	\$11,846,594
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$167,590</b>	<b>\$167,590</b>	<b>\$335,180</b>	<b>\$5,923,297</b>	<b>\$5,923,297</b>	<b>\$11,846,594</b>

Strategy: 2-1-1 Indirect Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$26,924	\$26,925	\$53,849	\$1,061,187	\$1,061,187	\$2,122,374
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$26,924</b>	<b>\$26,925</b>	<b>\$53,849</b>	<b>\$1,061,187</b>	<b>\$1,061,187</b>	<b>\$2,122,374</b>
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$194,514</b>	<b>\$194,515</b>	<b>\$389,029</b>	<b>\$6,984,484</b>	<b>\$6,984,484</b>	<b>\$13,968,968</b>

**FTE Reductions (From FY 2020 and FY 2021 Base Request)**

**3.0      3.0**

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/7/2018  
Time: 3:15:33PM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

**2 Second 2.5% - FTE Attrition**

**Category:** Programs - Service Reductions (FTEs-Hiring Freeze)

**Item Comment:** This reduction eliminates one Administrative Law Judge position. SOAH anticipates this reduction would be realized through attrition. Depending on positions vacated, agency referrals, and length of time left open, there could be an impact on SOAH's performance measures and a result in a delay in hearing and closing cases.

Strategy: 1-1-1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$88,800	\$88,800	\$177,600	\$5,923,297	\$5,923,297	\$11,846,594
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$88,800</b>	<b>\$88,800</b>	<b>\$177,600</b>	<b>\$5,923,297</b>	<b>\$5,923,297</b>	<b>\$11,846,594</b>
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$88,800</b>	<b>\$88,800</b>	<b>\$177,600</b>	<b>\$5,923,297</b>	<b>\$5,923,297</b>	<b>\$11,846,594</b>

**FTE Reductions (From FY 2020 and FY 2021 Base Request)**

**1.0          1.0**

**3 Second 2.5% Continued - Expenditure Reductions**

**Category:** Across the Board Reductions

**Item Comment:** This reduction impacts overall agency spending. It would delay the PC replacement refresh, some training, software purchases, furniture and equipment replacement, and registration fees throughout the agency. The costs of most of these items will be required in future years.

Strategy: 1-1-1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

General Revenue Funds

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/7/2018  
Time: 3:15:33PM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
1 General Revenue Fund	\$0	\$0	\$0	\$94,514	\$94,514	\$189,028	\$5,923,297	\$5,923,297	\$11,846,594
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$94,514</b>	<b>\$94,514</b>	<b>\$189,028</b>	<b>\$5,923,297</b>	<b>\$5,923,297</b>	<b>\$11,846,594</b>

Strategy: 2-1-1 Indirect Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$11,200	\$11,200	\$22,400	\$1,061,187	\$1,061,187	\$2,122,374
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$11,200</b>	<b>\$11,200</b>	<b>\$22,400</b>	<b>\$1,061,187</b>	<b>\$1,061,187</b>	<b>\$2,122,374</b>
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$105,714</b>	<b>\$105,714</b>	<b>\$211,428</b>	<b>\$6,984,484</b>	<b>\$6,984,484</b>	<b>\$13,968,968</b>

**FTE Reductions (From FY 2020 and FY 2021 Base Request)**

**4 Third 2.5% - FTE Attrition**

**Category:** Across the Board Reductions

**Item Comment:** This reductions would eliminate two positions in Hearings, one position in Administration, and delay the hiring by one year of another position in Administration. Depending on positions vacated, agency referrals, and length of time left open, there could be an impact on SOAH's performance measures and a result in a delay in hearing and closing cases.

Strategy: 1-1-1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$121,024	\$121,024	\$242,048	\$5,923,297	\$5,923,297	\$11,846,594
------------------------	-----	-----	-----	-----------	-----------	-----------	-------------	-------------	--------------

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/7/2018  
Time: 3:15:33PM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<b>General Revenue Funds Total</b>	\$0	\$0	\$0	\$121,024	\$121,024	\$242,048	\$5,923,297	\$5,923,297	\$11,846,594
Strategy: 2-1-1 Indirect Administration									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$73,490	\$73,490	\$146,980	\$1,061,187	\$1,061,187	\$2,122,374
<b>General Revenue Funds Total</b>	\$0	\$0	\$0	\$73,490	\$73,490	\$146,980	\$1,061,187	\$1,061,187	\$2,122,374
<b>Item Total</b>	\$0	\$0	\$0	\$194,514	\$194,514	\$389,028	\$6,984,484	\$6,984,484	\$13,968,968

**FTE Reductions (From FY 2020 and FY 2021 Base Request)**

3.5      3.5

**5 Fourth 2.5% - FTE Attrition**

**Category:** Programs - Service Reductions (FTEs-Hiring Freeze)

**Item Comment:** This reduction eliminates two Administrative Law Judge positions. SOAH anticipates this reduction would be realized through attrition. Depending on positions vacated, agency referrals, and length of time left open, there could be an impact on SOAH's performance measures and a result in a delay in hearing and closing cases.

Strategy: 1-1-1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$194,514	\$194,514	\$389,028	\$5,923,297	\$5,923,297	\$11,846,594
<b>General Revenue Funds Total</b>	\$0	\$0	\$0	\$194,514	\$194,514	\$389,028	\$5,923,297	\$5,923,297	\$11,846,594

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

86th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/7/2018  
Time: 3:15:33PM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET	
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total	
<b>Item Total</b>	\$0	\$0	\$0	\$194,514	\$194,514	\$389,028	\$5,923,297	\$5,923,297	\$11,846,594	
FTE Reductions (From FY 2020 and FY 2021 Base Request)					2.0	2.0				
<b>AGENCY TOTALS</b>										
General Revenue Total				\$778,056	\$778,057	\$1,556,113	\$32,800,046	\$32,800,046	\$65,600,092	\$1,556,113
Agency Grand Total	\$0	\$0	\$0	\$778,056	\$778,057	\$1,556,113	\$32,800,046	\$32,800,046	\$65,600,092	\$1,556,113
Difference, Options Total Less Target										
Agency FTE Reductions (From FY 2020 and FY 2021 Base Request)				9.5	9.5					
Article Total				\$778,056	\$778,057	\$1,556,113	\$32,800,046	\$32,800,046	\$65,600,092	
Statewide Total				\$778,056	\$778,057	\$1,556,113	\$32,800,046	\$32,800,046	\$65,600,092	