

**OPERATING BUDGET
FOR FISCAL YEAR 2018**

SUBMITTED TO THE
GOVERNOR'S OFFICE OF BUDGET, PLANNING AND POLICY
AND THE
LEGISLATIVE BUDGET BOARD



REVISED

BY
THE STATE OFFICE OF ADMINISTRATIVE HEARINGS

December 1, 2017

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STATE OFFICE OF ADMINISTRATIVE HEARINGS

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CERTIFICATE

Agency Name State Office of Administrative Hearings (#360)

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and Governor's Office will be notified in writing in accordance with Senate Bill 1, Article IX, Section 7.01, Eighty-fifth Legislature, Regular Session, 2017.

Chief Executive Officer or Presiding Judge

Board or Commission Chair

Chief Financial Officer

Signature *Lesli Ginn*

N/A

Signature *Kimberly Dudish*

Lesli Ginn
Printed Name

Kimberly Dudish
Printed Name

Chief Administrative Law Judge
Title

Chief Financial Officer
Title

11.29.17
Date

11-29-17
Date

Budget Overview
85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

360 State Office of Administrative Hearings

Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS				OTHER FUNDS				ALL FUNDS	
	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018
Goal: 1. Provide for a Fair and Efficient Administrative Hearings Process										
1.1.1. Conduct Hearings	5,924,878	7,391,979			3,560,055	4,223,881	9,484,933		11,615,860	
1.2.1. Conduct Alt Dispute Resolution	192,046	149,654			53,211	95,680	245,257		245,334	
Total, Goal	6,116,924	7,541,633			3,613,266	4,319,561	9,730,190		11,861,194	
Goal: 2. Indirect Administration										
2.1.1. Indirect Administration	1,100,203	1,020,013			678,113	662,531	1,778,316		1,682,544	
Total, Goal	1,100,203	1,020,013			678,113	662,531	1,778,316		1,682,544	
Total, Agency	7,217,127	8,561,646			4,291,379	4,982,092	11,508,506		13,543,738	
Total FTEs									111.8	123.0

2.A. Summary of Budget By Strategy

DATE : 11/30/2017

TIME : 9:00:46AM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 360 Agency name: State Office of Administrative Hearings

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
1 Provide for a Fair and Efficient Administrative Hearings Process			
1 <i>Ensure that All Hearings are Conducted in a Fair and Impartial Manner</i>			
1 CONDUCT HEARINGS	\$9,024,545	\$9,484,933	\$11,615,860
2 <i>Provide an Opportunity for Alternative Dispute Resolution Proceedings</i>			
1 CONDUCT ALT DISPUTE RESOLUTION	\$250,112	\$245,257	\$245,334
TOTAL, GOAL 1	\$9,274,657	\$9,730,190	\$11,861,194
2 Indirect Administration			
1 <i>Indirect Administration</i>			
1 INDIRECT ADMINISTRATION	\$1,176,574	\$1,778,316	\$1,682,544
TOTAL, GOAL 2	\$1,176,574	\$1,778,316	\$1,682,544

2.A. Summary of Budget By Strategy

DATE : 11/30/2017

TIME : 9:00:46AM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 360 Agency name: State Office of Administrative Hearings

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
General Revenue Funds:			
1 General Revenue Fund	\$7,434,793	\$7,217,127	\$8,561,646
	\$7,434,793	\$7,217,127	\$8,561,646
Other Funds:			
666 Appropriated Receipts	\$75,725	\$52,818	\$100,000
777 Interagency Contracts	\$2,940,713	\$4,238,561	\$4,882,092
	\$3,016,438	\$4,291,379	\$4,982,092
TOTAL, METHOD OF FINANCING	\$10,451,231	\$11,508,506	\$13,543,738
FULL TIME EQUIVALENT POSITIONS	107.4	111.8	123.0

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2017**
 TIME: **9:01:18AM**

Agency code: **360** Agency name: **State Office of Administrative Hearings**

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2016-17 GAA)	\$8,444,105	\$7,461,384	\$0
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$7,141,646
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 18.03 (b) Centralized Accounting and Payroll/Personnel Systems Development (2016-17 GAA)	\$342,000	\$125,559	\$0
<i>TRANSFERS</i>			
Art IX, Sec 18.02 Salary Increases for General State Employees (2016-17 GAA)	\$186,425	\$167,234	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapse Committed	\$(8,840)	\$(211,183)	\$0
Art IX, Sec 18.03 (e) Centralized Accounting and Payroll/Personnel Systems Development (2016-17 GAA)	\$0	\$(186,467)	\$0
Savings due to Hiring Freeze	\$0	\$(248,297)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art IX, Sec 18.03 (e) Centralized Accounting and Payroll/Personnel Systems Development (2016-17 GAA)	\$(302,941)	\$302,941	\$0
Art IX, Sec 14.03(i) Capital Budget UB (2016-17 GAA)- Case Management System	\$(1,220,000)	\$1,220,000	\$0
Art IX, Sec 14.05, UB Authority with the Same Biennium (2016-17 GAA)	\$(5,956)	\$5,956	\$0
Article VIII, Rider 9 UB and Capital Authority (2018-19 GAA)- Case Management System	\$0	\$(1,420,000)	\$1,420,000
TOTAL, General Revenue Fund	\$7,434,793	\$7,217,127	\$8,561,646

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2017**
 TIME: **9:01:18AM**

Agency code: **360** Agency name: **State Office of Administrative Hearings**

METHOD OF FINANCING		Exp 2016	Exp 2017	Bud 2018
TOTAL, ALL	GENERAL REVENUE	\$7,434,793	\$7,217,127	\$8,561,646
<u>OTHER FUNDS</u>				
666	Appropriated Receipts			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2016-17 GAA)	\$120,000	\$120,000	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$100,000
	Committed Lapse	\$(601)	\$0	\$0
	<i>LAPSED APPROPRIATIONS</i>			
	Revenue Not Collected	\$(43,674)	\$(67,182)	\$0
TOTAL,	Appropriated Receipts	\$75,725	\$52,818	\$100,000
777	Interagency Contracts			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2016-17 GAA)	\$3,431,567	\$3,431,567	\$0
	Excess Collected Revenue	\$0	\$528,258	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$4,390,852
	Excess Collected Revenue	\$0	\$0	\$491,240
	<i>LAPSED APPROPRIATIONS</i>			
	Revenue Not Collected	\$(48,681)	\$0	\$0
	Lapse Committed	\$(4,690)	\$0	\$0
	Savings due to Hiring Freeze	\$0	\$(158,747)	\$0

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2017**
 TIME: **9:01:18AM**

Agency code: **360** Agency name: **State Office of Administrative Hearings**

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art VIII, SOAH Rider 5 (2016-17 GAA)	\$(437,483)	\$437,483	\$0
<p>Comments: All funds collected by SOAH as payment for, or reimbursement of, the office's costs of providing services to other state agencies or governmental entities, or others as directed by the Legislature, are appropriated to SOAH for its use during the biennium.</p>			
TOTAL, Interagency Contracts	\$2,940,713	\$4,238,561	\$4,882,092
TOTAL, ALL OTHER FUNDS	\$3,016,438	\$4,291,379	\$4,982,092
GRAND TOTAL	\$10,451,231	\$11,508,506	\$13,543,738

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2017**
 TIME: **9:01:18AM**

Agency code: **360** Agency name: **State Office of Administrative Hearings**

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
FULL-TIME-EQUIVALENT POSITIONS			
REGULAR APPROPRIATIONS			
Regular Appropriations from MOF Table (2016-17 GAA)	123.0	123.0	0.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	0.0	123.0
RIDER APPROPRIATION			
Art IX, Sec 18.03 Centralized Accounting and Payroll/Personnel Systems Development (2016-17 GAA)	4.0	1.0	0.0
LAPSED APPROPRIATIONS			
Art IX, Sec 18.03 Centralized Accounting and Payroll/Personnel Systems Development (2016-17 GAA)	(3.0)	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP			
Vacancy Equivalents	(16.6)	(12.2)	0.0
TOTAL, ADJUSTED FTES	107.4	111.8	123.0

NUMBER OF 100% FEDERALLY FUNDED FTEs

2.C. Summary of Budget By Object of Expense
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2017**
 TIME: **9:01:45AM**

Agency code: **360**

Agency name: **State Office of Administrative Hearings**

OBJECT OF EXPENSE	EXP 2016	EXP 2017	BUD 2018
1001 SALARIES AND WAGES	\$8,442,770	\$8,740,517	\$9,835,261
1002 OTHER PERSONNEL COSTS	\$772,647	\$466,338	\$440,682
2001 PROFESSIONAL FEES AND SERVICES	\$40,512	\$45,820	\$24,656
2003 CONSUMABLE SUPPLIES	\$38,657	\$22,030	\$40,000
2004 UTILITIES	\$60,083	\$82,866	\$108,447
2005 TRAVEL	\$129,516	\$98,362	\$139,000
2006 RENT - BUILDING	\$245,077	\$271,841	\$280,028
2007 RENT - MACHINE AND OTHER	\$22,844	\$17,684	\$29,504
2009 OTHER OPERATING EXPENSE	\$693,548	\$1,693,996	\$1,180,144
5000 CAPITAL EXPENDITURES	\$5,577	\$69,052	\$1,466,016
Agency Total	\$10,451,231	\$11,508,506	\$13,543,738

2.D. Summary of Budget By Objective Outcomes
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/30/2017
 Time: 9:02:18AM

Agency code: 360 Agency name: State Office of Administrative Hearings

Goal/ Objective / OUTCOME	Exp 2016	Exp 2017	Bud2018
1 Provide for a Fair and Efficient Administrative Hearings Process			
<i>1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner</i>			
KEY 1 Percentage of Participants Surveyed Satisfied with Overall Process	91.90 %	88.50 %	92.00 %
KEY 2 % of Proposed Tax Decisions Issued within 60 Days of Record Closing	100.00 %	100.00 %	100.00 %

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 2:59:48PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process
OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner
STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

Service Categories:
Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of Hours Billed (General Docket Hearings and ALR Hearings)	77,696.61	79,684.75	84,924.00
KEY 2	Number of Administrative License Revocation Cases Disposed	25,379.00	23,201.00	27,000.00
KEY 3	Number of Cases Disposed	31,832.00	29,280.00	34,000.00
	4 Number of Requests for Continuances and Abatements Granted	16,624.00	15,109.00	15,500.00
KEY 5	Percent of Available ALJ Time Spent on Case Work	101.54 %	101.78 %	97.00 %
	6 Percent of Case Time Spent on ALR Cases	35.39 %	32.45 %	32.30 %
	7 Percent of Case Time Spent on General Docket (Non-ALR) Cases	63.12 %	65.90 %	67.70 %
KEY 8	# of Proposals for Decision Related to Tax Hearings Issued by ALJs	255.00	441.00	450.00
Efficiency Measures:				
	1 Average Cost Per Case	322.74	402.91	374.58
KEY 2	Average # of Days-Close of Record to PFD Issuance--Major Cases	40.80	42.50	60.00
KEY 3	Median Number of Days to Dispose Case	105.00	80.00	75.00
	4 Average Number of Days from Date of Request to Execution	0.00	0.00	0.10
KEY 5	Avg Days to Issue Proposed Tax Decision Following Record Closing	10.93	11.94	9.00
Explanatory/Input Measures:				
KEY 1	Number of Cases Received	31,314.00	27,228.00	31,444.00
KEY 2	Number of Agencies Served	51.00	54.00	50.00
	3 Number of Complaints Received Regarding Hearing Process	1.00	6.00	5.00
	4 Percent of PFDs Changed, Vacated or Modified by Governing Boards	4.86 %	5.77 %	1.50 %
Objects of Expense:				
1001	SALARIES AND WAGES	\$7,272,911	\$7,267,357	\$8,129,416
1002	OTHER PERSONNEL COSTS	\$653,182	\$398,941	\$385,981
2001	PROFESSIONAL FEES AND SERVICES	\$28,213	\$39,466	\$21,430
2003	CONSUMABLE SUPPLIES	\$35,921	\$19,136	\$35,000

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 2:59:48PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process
OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner
STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders

Service Categories:
Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
2004	UTILITIES	\$59,036	\$78,487	\$98,627
2005	TRAVEL	\$124,667	\$92,822	\$132,211
2006	RENT - BUILDING	\$244,614	\$271,698	\$279,456
2007	RENT - MACHINE AND OTHER	\$21,182	\$17,684	\$26,504
2009	OTHER OPERATING EXPENSE	\$580,063	\$1,249,462	\$1,048,121
5000	CAPITAL EXPENDITURES	\$4,756	\$49,880	\$1,459,114
TOTAL, OBJECT OF EXPENSE		\$9,024,545	\$9,484,933	\$11,615,860
Method of Financing:				
1	General Revenue Fund	\$6,367,361	\$5,924,878	\$7,391,979
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,367,361	\$5,924,878	\$7,391,979
Method of Financing:				
666	Appropriated Receipts	\$75,725	\$52,818	\$100,000
777	Interagency Contracts	\$2,581,459	\$3,507,237	\$4,123,881
SUBTOTAL, MOF (OTHER FUNDS)		\$2,657,184	\$3,560,055	\$4,223,881
TOTAL, METHOD OF FINANCE :		\$9,024,545	\$9,484,933	\$11,615,860
FULL TIME EQUIVALENT POSITIONS:		91.4	93.1	102.0

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 2:59:48PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process
OBJECTIVE: 2 Provide an Opportunity for Alternative Dispute Resolution Proceedings
STRATEGY: 1 Conduct Alternative Dispute Resolution Proceedings

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
1	Number of Hours Billed to Alternative Dispute Resolution Cases	1,175.50	1,341.75	1,500.00
Efficiency Measures:				
1	Number of Cases Resolved through Alternative Dispute Resolution	40.00	62.00	100.00
2	Average Cost Per Alternative Dispute Resolution Proceeding	1,678.60	1,030.49	1,226.67
3	Average Number of Days from Date of Request to Execution for ADR	0.00	0.00	0.00
Explanatory/Input Measures:				
KEY 1	Number of Alternative Dispute Resolution Cases Requested or Referred	149.00	238.00	200.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$225,046	\$234,012	\$234,015
1002	OTHER PERSONNEL COSTS	\$16,518	\$4,666	\$4,670
2001	PROFESSIONAL FEES AND SERVICES	\$483	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$314	\$0	\$0
2004	UTILITIES	\$70	\$0	\$0
2005	TRAVEL	\$519	\$1,514	\$1,789
2006	RENT - BUILDING	\$21	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$7,037	\$5,065	\$4,860
5000	CAPITAL EXPENDITURES	\$104	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$250,112	\$245,257	\$245,334
Method of Financing:				
1	General Revenue Fund	\$196,910	\$192,046	\$149,654
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$196,910	\$192,046	\$149,654

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 2:59:48PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process
 OBJECTIVE: 2 Provide an Opportunity for Alternative Dispute Resolution Proceedings
 STRATEGY: 1 Conduct Alternative Dispute Resolution Proceedings

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Method of Financing:				
	777 Interagency Contracts	\$53,202	\$53,211	\$95,680
SUBTOTAL, MOF (OTHER FUNDS)		\$53,202	\$53,211	\$95,680
TOTAL, METHOD OF FINANCE :		\$250,112	\$245,257	\$245,334
FULL TIME EQUIVALENT POSITIONS:		2.0	2.0	2.0

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 2:59:48PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
1001	SALARIES AND WAGES	\$944,813	\$1,239,148	\$1,471,830
1002	OTHER PERSONNEL COSTS	\$102,947	\$62,731	\$50,031
2001	PROFESSIONAL FEES AND SERVICES	\$11,816	\$6,354	\$3,226
2003	CONSUMABLE SUPPLIES	\$2,422	\$2,894	\$5,000
2004	UTILITIES	\$977	\$4,379	\$9,820
2005	TRAVEL	\$4,330	\$4,026	\$5,000
2006	RENT - BUILDING	\$442	\$143	\$572
2007	RENT - MACHINE AND OTHER	\$1,662	\$0	\$3,000
2009	OTHER OPERATING EXPENSE	\$106,448	\$439,469	\$127,163
5000	CAPITAL EXPENDITURES	\$717	\$19,172	\$6,902
TOTAL, OBJECT OF EXPENSE		\$1,176,574	\$1,778,316	\$1,682,544
Method of Financing:				
1	General Revenue Fund	\$870,522	\$1,100,203	\$1,020,013
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$870,522	\$1,100,203	\$1,020,013
Method of Financing:				
777	Interagency Contracts	\$306,052	\$678,113	\$662,531
SUBTOTAL, MOF (OTHER FUNDS)		\$306,052	\$678,113	\$662,531
TOTAL, METHOD OF FINANCE :		\$1,176,574	\$1,778,316	\$1,682,544
FULL TIME EQUIVALENT POSITIONS:		14.0	16.7	19.0

3.A. Strategy Level Detail

DATE: 12/1/2017

TIME: 2:59:48PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$10,451,231	\$11,508,506	\$13,543,738
METHODS OF FINANCE :	\$10,451,231	\$11,508,506	\$13,543,738
FULL TIME EQUIVALENT POSITIONS:	107.4	111.8	123.0

Agency code: 360

Agency name: State Office of Administrative Hearings

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2016

EXP 2017

BUD 2018

5005 Acquisition of Information Resource Technologies

*1/1 Integrated case management system, case filing,
 and timekeeping system*

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE		\$0	\$0	\$75,000
5000 CAPITAL EXPENDITURES		\$0	\$0	\$1,420,000
Capital Subtotal OOE, Project	1	\$0	\$0	\$1,495,000
Subtotal OOE, Project	1	\$0	\$0	\$1,495,000

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund		\$0	\$0	\$1,495,000
Capital Subtotal TOF, Project	1	\$0	\$0	\$1,495,000
Subtotal TOF, Project	1	\$0	\$0	\$1,495,000

4/4 PC Replacement

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE		\$15,840	\$34,982	\$30,100
Capital Subtotal OOE, Project	4	\$15,840	\$34,982	\$30,100
Subtotal OOE, Project	4	\$15,840	\$34,982	\$30,100

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund		\$15,840	\$34,982	\$26,262
CA 777 Interagency Contracts		\$0	\$0	\$3,838
Capital Subtotal TOF, Project	4	\$15,840	\$34,982	\$30,100
Subtotal TOF, Project	4	\$15,840	\$34,982	\$30,100

Agency code: 360

Agency name: State Office of Administrative Hearings

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2016	EXP 2017	BUD 2018
<i>5/5 SOAH Firewall Update</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
5000 CAPITAL EXPENDITURES		\$5,577	\$0	\$0
Capital Subtotal OOE, Project	5	\$5,577	\$0	\$0
Subtotal OOE, Project	5	\$5,577	\$0	\$0
TYPE OF FINANCING				
<u>Capital</u>				
CA 1 General Revenue Fund		\$3,588	\$0	\$0
CA 777 Interagency Contracts		\$1,989	\$0	\$0
Capital Subtotal TOF, Project	5	\$5,577	\$0	\$0
Subtotal TOF, Project	5	\$5,577	\$0	\$0
<i>6/6 SOAH IT Network Infrastructure refresh.</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
5000 CAPITAL EXPENDITURES		\$0	\$14,119	\$0
Capital Subtotal OOE, Project	6	\$0	\$14,119	\$0
Subtotal OOE, Project	6	\$0	\$14,119	\$0
TYPE OF FINANCING				
<u>Capital</u>				
CA 1 General Revenue Fund		\$0	\$8,189	\$0
CA 777 Interagency Contracts		\$0	\$5,930	\$0
Capital Subtotal TOF, Project	6	\$0	\$14,119	\$0
Subtotal TOF, Project	6	\$0	\$14,119	\$0

7/7 SOAH IT Server Infrastructure upgrade.

Agency code: 360

Agency name: State Office of Administrative Hearings

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2016	EXP 2017	BUD 2018
OBJECTS OF EXPENSE				
<u>Capital</u>				
5000	CAPITAL EXPENDITURES	\$0	\$54,645	\$0
Capital Subtotal OOE, Project	7	\$0	\$54,645	\$0
Subtotal OOE, Project	7	\$0	\$54,645	\$0
TYPE OF FINANCING				
<u>Capital</u>				
CA 1	General Revenue Fund	\$0	\$25,847	\$0
CA 777	Interagency Contracts	\$0	\$28,798	\$0
Capital Subtotal TOF, Project	7	\$0	\$54,645	\$0
Subtotal TOF, Project	7	\$0	\$54,645	\$0
Capital Subtotal, Category	5005	\$21,417	\$103,746	\$1,525,100
Informational Subtotal, Category	5005			
Total, Category	5005	\$21,417	\$103,746	\$1,525,100

7000 Data Center Consolidation

2/2 Data Center Services Disaster Recovery

OBJECTS OF EXPENSE

Capital

5000	CAPITAL EXPENDITURES	\$0	\$0	\$46,016
Capital Subtotal OOE, Project	2	\$0	\$0	\$46,016
Subtotal OOE, Project	2	\$0	\$0	\$46,016

TYPE OF FINANCING

Capital

CA 1	General Revenue Fund	\$0	\$0	\$28,530
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Agency code: 360

Agency name: State Office of Administrative Hearings

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2016	EXP 2017	BUD 2018
CA 777	Interagency Contracts	\$0	\$0	\$17,486
Capital Subtotal TOF, Project	2	\$0	\$0	\$46,016
Subtotal TOF, Project	2	\$0	\$0	\$46,016
Capital Subtotal, Category	7000	\$0	\$0	\$46,016
Informational Subtotal, Category	7000			
Total, Category	7000	\$0	\$0	\$46,016

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

*3/3 Centralized Accounting and Payroll/Personnel
 Systems Deployments*

OBJECTS OF EXPENSE

Capital

2009	OTHER OPERATING EXPENSE	\$39,059	\$242,032	\$0
Capital Subtotal OOE, Project	3	\$39,059	\$242,032	\$0
Subtotal OOE, Project	3	\$39,059	\$242,032	\$0

TYPE OF FINANCING

Capital

CA 1	General Revenue Fund	\$39,059	\$242,032	\$0
Capital Subtotal TOF, Project	3	\$39,059	\$242,032	\$0
Subtotal TOF, Project	3	\$39,059	\$242,032	\$0
Capital Subtotal, Category	8000	\$39,059	\$242,032	\$0
Informational Subtotal, Category	8000			
Total, Category	8000	\$39,059	\$242,032	\$0

AGENCY TOTAL -CAPITAL

\$60,476

\$345,778

\$1,571,116

Agency code: 360

Agency name: State Office of Administrative Hearings

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	EXP 2016	EXP 2017	BUD 2018
AGENCY TOTAL -INFORMATIONAL			
AGENCY TOTAL	\$60,476	\$345,778	\$1,571,116
METHOD OF FINANCING:			
<u>Capital</u>			
1 General Revenue Fund	\$58,487	\$311,050	\$1,549,792
777 Interagency Contracts	\$1,989	\$34,728	\$21,324
Total, Method of Financing-Capital	\$60,476	\$345,778	\$1,571,116
Total, Method of Financing	\$60,476	\$345,778	\$1,571,116
TYPE OF FINANCING:			
<u>Capital</u>			
CA CURRENT APPROPRIATIONS	\$60,476	\$345,778	\$1,571,116
Total, Type of Financing-Capital	\$60,476	\$345,778	\$1,571,116
Total,Type of Financing	\$60,476	\$345,778	\$1,571,116

Capital Budget Allocation to Strategies
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2017**
 TIME: **9:08:19AM**

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
5005 Acquisition of Information Resource Technologies				
<i>1/1 Integrated Case Management System</i>				
Capital	1-1-1 CONDUCT HEARINGS	0	0	\$1,495,000
	TOTAL, PROJECT	\$0	\$0	\$1,495,000
<i>4/4 PC Replacement</i>				
Capital	1-1-1 CONDUCT HEARINGS	15,840	34,982	30,100
	TOTAL, PROJECT	\$15,840	\$34,982	\$30,100
<i>5/5 Firewall Update</i>				
Capital	2-1-1 INDIRECT ADMINISTRATION	717	0	0
Capital	1-1-1 CONDUCT HEARINGS	4,756	0	0
Capital	1-2-1 CONDUCT ALT DISPUTE RESOLUTION	104	0	0
	TOTAL, PROJECT	\$5,577	\$0	\$0
<i>6/6 IT Network Infrastructure</i>				
Capital	2-1-1 INDIRECT ADMINISTRATION	0	2,118	0
Capital	1-1-1 CONDUCT HEARINGS	0	12,001	0
	TOTAL, PROJECT	\$0	\$14,119	\$0

Capital Budget Allocation to Strategies
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2017**
 TIME: **9:08:19AM**

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
7/7		<i>IT Server Infrastructure</i>			
Capital	2-1-1	INDIRECT ADMINISTRATION	0	16,766	\$0
Capital	1-1-1	CONDUCT HEARINGS	0	37,879	0
TOTAL, PROJECT			\$0	\$54,645	\$0

7000 Data Center Consolidation

2/2 DCS Disaster Recovery

Capital	2-1-1	INDIRECT ADMINISTRATION	0	0	6,902
Capital	1-1-1	CONDUCT HEARINGS	0	0	39,114
TOTAL, PROJECT			\$0	\$0	\$46,016

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

3/3 CAPPS Deployment

Capital	2-1-1	INDIRECT ADMINISTRATION	39,059	242,032	0
TOTAL, PROJECT			\$39,059	\$242,032	\$0
TOTAL CAPITAL, ALL PROJECTS			\$60,476	\$345,778	\$1,571,116
TOTAL INFORMATIONAL, ALL PROJECTS					
TOTAL, ALL PROJECTS			\$60,476	\$345,778	\$1,571,116

4.D. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2017
TIME: 9:09:15AM

Agency Code: **360**

Agency name: **State Office of Administrative Hearings**

FUND/ACCOUNT	Exp 2016	Exp 2017	Bud 2018
666 Appropriated Receipts			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3719 Fees/Copies or Filing of Records	75,725	52,818	100,000
Subtotal: Estimated Revenue	<u>75,725</u>	<u>52,818</u>	<u>100,000</u>
Total Available	<u>\$75,725</u>	<u>\$52,818</u>	<u>\$100,000</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(75,725)	(52,818)	(100,000)
Total, Deductions	<u>\$(75,725)</u>	<u>\$(52,818)</u>	<u>\$(100,000)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

Reimbursements for transcripts ordered on behalf of parties to cases.

CONTACT PERSON:

Kim Dudish

4.D. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2017
 TIME: 9:09:15AM

Agency Code: **360**

Agency name: **State Office of Administrative Hearings**

FUND/ACCOUNT	Exp 2016	Exp 2017	Bud 2018
<u>777</u> Interagency Contracts			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3765 Supplies/Equipment/Services	2,940,713	4,238,561	4,882,092
Subtotal: Estimated Revenue	<u>2,940,713</u>	<u>4,238,561</u>	<u>4,882,092</u>
Total Available	<u>\$2,940,713</u>	<u>\$4,238,561</u>	<u>\$4,882,092</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(2,940,713)	(4,238,561)	(4,882,092)
Total, Deductions	<u>\$(2,940,713)</u>	<u>\$(4,238,561)</u>	<u>\$(4,882,092)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

SOAH relied on responses from agencies (provided in June 2016 during the LAR process) regarding the amount of work they anticipated referring and historical data for the basis of the Interagency Contracts for FY2018.

CONTACT PERSON:

Kim Dudish
