| **Agency Code** | **Agency Name** | **Suffix(es)** | **Confidential/Closed****Case Type** | **Confidentiality Statute** | **Description of Confidential Information** |
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|  | All Agencies | .MED | Original Mediation | Tex. Gov’t Code § 2009.054; T.R.E. 408; T.R.C.P. §§ 154.053, .073 | * All communications and records with the parties regarding mediation/settlement negotiations
 |
|  | All Agencies |  | Enforcement or licensure case based solely on specific types of criminal history information | Tex. Gov’t Code § 411.084 | * Compiled criminal history record information about a licensee/applicant obtained from FBI National Crime Information Center (NCIC) or DPS Texas Crime Information Center (TCIC) or information derived from those reports
 |
|  | All Agencies |  | Enforcement or licensure case where the underlying allegation or violation is a crime that is subject to a non-disclosure order issued by the criminal court adjudicating the offense | Tex. Gov’t Code § 411.076 | * All information relating to the criminal court case
 |
| 099 | Texas County & District Retirement System (TCDRS) | No suffix | Benefits cases prior to FY 19 | Tex. Gov’t Code § 845.115 | * Information concerning a participant in the retirement system; exempt from the public information provisions of Chapter 552
 |
| 100 | Texas Municipal Retirement System (TMRS) | No suffix | Benefits | Tex. Gov't Code § 855.115 | * Information about members, retirees, annuitants, or beneficiaries; exempt from the public information provisions of Chapter 552
 |
| 302 | Office of Attorney General (OAG) | .CS | Child Support | Tex. Fam. Code § 231.108 and 42 U.S.C.A. § 654(26) | * Personal Identifying Information (PII)
* Social Security Numbers
* Date of Birth
* Names of Minors
 |
| 304 | Comptroller of Public Accounts (CPA) | All suffixes except .PVS | Tax  | Tex. Gov't Code § 2003.104 | * Taxpayer Name
* Taxpayer ID Number
 |
| 323 | Teacher Retirement System of Texas (TRS)  | No suffix | Benefits | Tex. Gov't Code § 825.507 | * Records of or about a member, former member, retiree, annuitant, beneficiary or alternate payee; exempt from the public information provisions of Chapter 552
 |
| 327 | Employee Retirement System of Texas (ERS) | No suffix | Benefits  | Tex. Gov't Code § 815.503(a) | * Records of members, retirees, annuitants, or beneficiaries and alternate payees; exempt from the public information provisions of Chapter 552
 |
| 329 | Texas Real Estate Commission (TREC) / Texas Appraiser Licensing & Certification Board (TALCB) | .ALC | Appraiser Licensing and Certification | Tex. Occ. Code § 1103.460 | * Information in connection with a complaint, investigation, disciplinary proceedings or contested case for conduct that occurred after January 1, 2016; exempt from the public information provisions of Chapter 552
 |
| 336 | Texas County & District Retirements System (TCDRS) | No suffix | Benefits cases after FY 19 | Tex. Gov’t Code § 845.115 | * Information concerning a participant in the retirement system is confidential; exempt from the public information provisions of Chapter 552
 |
| 338 | Texas Pension Review Board (PRB) | No suffix | Benefits | See, e.g., Vernon’s Civil Statutes, Art. 6243e, Sec. 32 | * Information contained in records that are in the custody of a retirement system concerning an individual member, retiree, annuitant, or beneficiary
 |
| 407 | Texas Commission on Law Enforcement (TCOLE) | .F5 | Change F-5 Discharge Designation | Tex. Occ. Code § 1701.454 | * F-5 and case information, unless the officer resigned or was terminated due to substantiated incidents of excessive force or violations of the law other than traffic offenses
 |
| 407 | Texas Commission on Law Enforcement (TCOLE) | No suffix | Suspension or revocation of peace officer’s license | Tex. Gov’t Code §§ 552.117-.1175 | * Majority of information is public; however, the cases are not available to the public using the online case file system to guard against inadvertent disclosure of confidential peace officer information
* Confidential information that may be included: Peace officer’s personal contact information and TCOLE PID numbers
 |
| 450 | Texas Department of Savings and Mortgage Lending (SML) | .CHI | License denial case based solely on specific types of criminal history, credit history, or national registry information | Tex. Fin. Code §§ 157.0132, 180.062; 28 C.F.R. § 50.12; Tex. Gov’t Code § 411.084 | * Compiled criminal history record information about a licensee/applicant obtained from FBI National Crime Information Center (NCIC) or DPS Texas Crime Information Center (TCIC) or information derived from those reports
* Credit history information
* Confidential information obtained from the Nationwide Mortgage Licensing System and Registry information
 |
| 451 | Texas Department of Banking (DOB) | .FIN | Enforcement action related to the license, charter, registration, or permit of a financial institution | Tex. Fin. Code §§ 31.002(a)(25), .301 | * Information and all related files and records obtained directly or indirectly by the DOB concerning: the financial condition or business affairs of a financial institution; any present, former, or prospective shareholders, officers, directors, or affiliates of the financial institution; and/or a third-party service provider of the financial institution or its affiliate
* Does not include information in a published statement or in the public portion of a call report or profit and loss statement
 |
| 454 | Texas Department of Insurance (TDI) —Division of Workers’ Compensation  | .C1; .M4; M-4-NP.E1; .E2; .MIO; .M1; .M2; .M3; .M5; .M7; .M8; .M9; .P1; .S1; and .S2 | Workers’ Compensation Cases  | Tex. Labor Code §§ 402.083, .086, .092; 413.0513; Texas Gov’t Code § 2003.021(c) | * Injury/information derived from comp claim
* Protected Health Information (PHI)
* Patient names/Injured workers’ names
* Medical conditions/injury information
* Insurance policy numbers
 |
| 454 | Texas Department of Insurance (TDI) — Balance Billing cases | .BAL | Eligible “surprise bills” (see Tex. Ins. Code § 1467.051) referred to SOAH for mediation by external mediators regarding claims for services provided prior to Dec. 31, 2019 (program at SOAH repealed by SB 1264, 86th R.S.) | Tex. Civ. Prac. & Rem. Code §§ 154.053 and 154.073; Tex. Ins. Code § 1467.055; Tex. Gov’t Code § 2009.054; Tex. Health & Safety Code § 181.006; T.R.E. 408; and SOAH Rules at 1 Tex. Admin. Code §155.351(f) | * Protected Health Information (PHI)
* Patient names
* Insurance coverage information
* Settlement negotiations
 |
| 469 | Texas Credit Union Department (CUD) | No suffix | Appointment of a conservator to manage a credit union's affairs | Tex. Fin. Code § 126.108 | * The conservatorship order, copy of notice, correspondence, transcript, pleading, or other document relating to the order
 |
| 529 | Health and Human Services Commission (HHSC) | .E; .ET; .FPS | Child protective services FY19-present | 42 U.S.C. § 5106a(b)(2)(B)(viii);Tex. Fam. Code § 261.201; Tex. Human Res. Code § 40.005; DFPS Rules at 40 Tex. Admin. Code §§700.201-.209 and §702.257 | * Reporter’s name and all investigative report and case and hearing information
* Minors’ names and other Personal Identifying Information (PII)
* Name of Individual on Central Registry
* Only certain individuals entitled to information per DFPS rules at 40 Tex. Admin. Code §§700.201-.209
* Only DFPS is authorized to release information per Tex. Fam. Code § 261.201
 |
| 530 | Dept. of Family & Protective Services (DFPS) | .E; .ET; .FPS | Child protective services cases prior to FY19 | Same as Agency 529 | * Same as Agency 529
 |
| 644 | Texas Juvenile Justice Department (TJJD) | .CO and no suffix | Suspension or revocation of juvenile justice officer’s certification; Title IV-E Permanency Hearing for youth | Tex. Fam. Code §§ 58.004-.005, .007-.008 | * Minors’ names and other Personal Identifying Information (PII)
* Juvenile Law Enforcement Records
 |
| 701 | Texas Education Agency (TEA) | IDEA | Child special education services | 20 U.S.C. § 1232g; 20 U.S.C. § 141734 C.F.R. Part 99;34 C.F.R. § 300.508(a)(1);34 C.F.R. § 300.506 | * FERPA information
* Student name
* Student medical conditions
* Due process complaint
* Mediation, if applicable
 |

| **Public/Open Case Types Likely to Contain Some Confidential Information** |
| --- |
| **Agency Code** | **Agency Name** | **Suffix(es)** | **Public/Open** **Case Type** | **Confidentiality Statute** | **Description of Confidential Information** |
| 405 | Department of Public Safety (DPS) |  | Administrative License Suspension & Revocation (ALR) | Tex. Gov’t Code § 552.130; ORD-684 | * Personal Identifying Information (PII)
* Driver’s license number
* Individual’s Date of Birth
* VIN in police reports
* Criminal History Information
 |
| 451 | Texas Department of Banking (DOB) | .NDS | Money Service Businesses and Death Care Industries (Prepaid Funeral Contract Sellers and Perpetual Care Cemeteries) | Tex. Fin. Code §§ 151.606, 154.055  | * Financial and other personal information of a money service business obtained by the Banking Commissioner through application, examination, investigation, or otherwise, and any related files or records
* Information relating to the financial condition of a prepaid funeral contract seller obtained directly or indirectly by the DOB, through examination or otherwise, other than published statements.
 |
| 454 | Texas Department of Insurance (TDI) – Texas Windstorm Insurance Appeals | .E | Insurance Claims Appeal | Tex. Gov’t Code § 552.136 | * Insurance policy numbers, personal account numbers
 |
| **Agency Code** | **Agency Name** | **Suffix(es)** | **Public/Open** **Case Type** | **Confidentiality Statute** | **Description of Confidential Information** |
| 469 | Texas Credit Union Department (CUD) |  | Non-conservatorship credit union business affairs cases | Tex. Fin. Code § 126.002 | * Information obtained directly or indirectly by the CUD in any manner, including by application or examination, concerning the financial condition or business affairs of a credit union, and the files and records of the CUD relating to that information, except a statement intended for publication
 |
| 473 | Public Utility Commission of Texas (PUC) |  | Utility rate case, CCN | Tex. Gov’t Code §§ 552.107(2), .110  | * Pre-filed testimony and exhibits to the extent they may contain trade secret or confidential financial information or information subject to a protective order
 |
| 503 | Texas Medical Board (TMB) | .MD; .DO | Medical licensing | Tex. Health & Safety Code § 181.006;45 C.F.R. Parts 160, 164; Tex. Occ. Code § 164.007 | * Patient names
* Protected health information
* Complaint & investigative information
 |
| 504 | Texas State Board of Dental Examiners (TSBDE) |  | Medical licensing | Tex. Health & Safety Code § 181.006;45 C.F.R. Parts 160, 164;Tex. Occ. Code § 245.006 | * Patient names
* Protected health information
* Investigative information
 |
| **Agency Code** | **Agency Name** | **Suffix(es)** | **Public/Open** **Case Type** | **Confidentiality Statute** | **Description of Confidential Information** |
| 507 | Texas Board of Nursing (BON) |  | Medical licensing | Tex. Health & Safety Code § 181.006;45 C.F.R. Parts 160, 164;Tex. Occ. Code § 301.466  | * Patient names
* Protected health information
* Complaint & investigative information
 |
| 508 | Texas Board of Chiropractic Examiners (TBCE) |  | Medical licensing | Tex. Health & Safety Code § 181.006; 45 C.F.R. Parts 160, 164;Tex. Occ. Code § 201.206 | * Patient names
* Protected health information
* Complaint & investigative information
 |
| 510 | Texas Behavioral Health Executive Council (BHEC)(see HB 1501, 86th R.S. 2019) |  | Medical licensing for psychologists and certain counselors and social workers on or after Sept. 1, 2020 | Tex. Occ. Code § 507.205; Tex. Health & Safety Code § 181.006;45 C.F.R. Parts 160, 164; | * Patient names
* Protected health information
* Complaint & investigative information
 |
| 513 | Texas Funeral Services Commission (TFSC) |  | Funeral licensing | Common Law Privacy;Tex. Occ. Code § 651.2053 | * Complaint & investigative information
 |
| **Agency Code** | **Agency Name** | **Suffix(es)** | **Public/Open** **Case Type** | **Confidentiality Statute** | **Description of Confidential Information** |
| 515 | Texas State Board of Pharmacy (TSBP) |  | Medical licensing | Tex. Health & Safety Code § 181.006; Tex. Occ. Code § 564.002;45 C.F.R. Parts 160, 164;Tex. Occ. Code § 565.055 | * Patient names
* Protected health information
* Investigative information
 |
| 520 | Texas Board of Examiners of Psychologists (now part of the BHEC) |  | Medical licensing prior to Sept. 1, 2020 | Tex. Health & Safety Code § 181.006;45 C.F.R. Parts 160, 164 | * Patient names
* Protected health information
* Investigative information
 |
| 522 | Executive Council of Physical Therapy & Occupational Therapy (ECPTOTE) |  | Medical licensing | Tex. Health & Safety Code § 181.006;45 C.F.R. Parts 160, 164 | * Patient names
* Protected health information
 |
| **Agency Code** | **Agency Name** | **Suffix(es)** | **Public/Open** **Case Type** | **Confidentiality Statute** | **Description of Confidential Information** |
| 529 | Health and Human Services Commission (HHSC) | .ADS | Aging and Disability Services | Tex. Health & Safety Code §§ 181.006, 260A.008; ORD-684 | * A report, record, or working paper used or developed in an investigation into abuse, neglect, or exploitation allegations at nursing and assisted-living facilities, other health facilities, and pediatric extended care centers
* Name, address, and phone number of any person making a report
* Personal Identifying Information
* Patient names
* Protected health information
 |
| 551 | Texas Department of Agriculture (TDA) | .FP | CACFP Food Program | Common Law Privacy; Tex. Human Res. Code §§ 12.003, 21.012 | * Adult and minor client names
* Personal Identifying Information or Sensitive Personal Information about clients
 |
| **Agency Code** | **Agency Name** | **Suffix(es)** | **Public/Open** **Case Type** | **Confidentiality Statute** | **Description of Confidential Information** |
| 582 | Texas Commission on Environmental Quality (TCEQ) |  | Environmental permitting | Tex. Gov’t Code §§ 552.107(2), .110 | * Pre-filed testimony and exhibits to the extent they may contain trade secret or confidential financial information or information subject to a protective order
 |
| 701 | Texas Education Agency (TEA) | .EC | Educator Certification | 20 U.S.C. § 1232g and34 C.F.R. Part 99 | * Student Name and other FERPA Information
 |