

**OPERATING BUDGET
FOR FISCAL YEAR 2012**

SUBMITTED TO THE
GOVERNOR'S OFFICE OF BUDGET, PLANNING AND POLICY
AND THE
LEGISLATIVE BUDGET BOARD



BY

THE STATE OFFICE OF ADMINISTRATIVE HEARINGS

DECEMBER 1, 2011

STATE OFFICE OF ADMINISTRATIVE HEARINGS

OPERATING BUDGET FOR FISCAL YEAR 2012

TABLE OF CONTENTS

I	Certificate	A. Page 1
II	Summary of Budget by Strategy	A. Page 1 - 2
	Summary of Budget by Method of Finance	B. Page 1 - 3
	Summary of Budget by Object of Expense	C. Page 1
	Summary of Objective Outcomes	D. Page 1
III	Strategy Level Detail	A. Page 1 - 7
	Strategy 1-1-1 Hearings	
	Strategy 1-2-1 Alternative Dispute Resolution (ADR)	
	Strategy 2-1-1 Indirect Administration	
IV	Capital Budget Project Schedule	A. Page 1 - 2
	Capital Budget Allocation to Strategies	Page 1
	Estimated Revenue Collections Supporting Schedule	D. Page 1 - 4



CERTIFICATE

Agency Name State Office of Administrative Hearings (#360)

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and GOBPP will be notified in writing in accordance with Article IX, Sec 7.01 (2012-13 GAA).

Chief Executive Officer or Presiding Judge

Signature

Cathleen Parsley
Printed Name

Chief Administrative Law Judge

Title

Date

11-29-11

Board or Commission Chair

N/A

Chief Financial Officer

Signature

Linda L. Duncan
Printed Name

Chief Operating Officer

Title

Date

11-29-11

**OPERATING BUDGET
FOR FISCAL YEAR 2012**

**Submitted to the
GOVERNOR'S OFFICE OF BUDGET, PLANNING AND POLICY
AND THE
LEGISLATIVE BUDGET BOARD**

**by
THE STATE OFFICE OF ADMINISTRATIVE HEARINGS
December 1, 2011**

II.A. SUMMARY OF BUDGET BY STRATEGY
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/29/2011
 TIME : 8:27:47AM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

Goal/Objective/STRATEGY	EXP 2010	EXP 2011	BUD 2012
1 Provide for a Fair and Efficient Administrative Hearings Process			
1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner			
1 CONDUCT HEARINGS	\$7,824,725	\$7,685,243	\$8,876,351
2 Provide an Opportunity for Alternative Dispute Resolution Proceedings			
1 CONDUCT ALT DISPUTE RESOLUTION	\$256,080	\$230,020	\$234,969
TOTAL, GOAL 1	\$8,080,805	\$7,915,263	\$9,111,320
2 Indirect Administration			
1 Indirect Administration			
1 INDIRECT ADMINISTRATION	\$1,155,148	\$1,149,368	\$1,129,587
TOTAL, GOAL 2	\$1,155,148	\$1,149,368	\$1,129,587

II.A. SUMMARY OF BUDGET BY STRATEGY
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/29/2011
 TIME : 8:27:52AM

Agency code: 360 Agency name: State Office of Administrative Hearings

Goal/Objective/STRATEGY	EXP 2010	EXP 2011	BUD 2012
General Revenue Funds:			
1 General Revenue Fund	\$3,306,349	\$3,035,167	\$3,305,957
	\$3,306,349	\$3,035,167	\$3,305,957
Other Funds:			
6 State Highway Fund	\$3,207,216	\$3,126,125	\$3,239,763
666 Appropriated Receipts	\$147,312	\$131,205	\$150,000
777 Interagency Contracts	\$2,575,076	\$2,772,134	\$3,545,187
	\$5,929,604	\$6,029,464	\$6,934,950
TOTAL, METHOD OF FINANCING	\$9,235,953	\$9,064,631	\$10,240,907
FULL TIME EQUIVALENT POSITIONS	112.7	111.2	127.0

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:28:07AM

Agency code: 360

Agency name: State Office of Administrative Hearings

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2010-11 GAA)	\$3,490,002	\$3,484,353	\$3,305,957
<i>TRANSFERS</i>			
Art IX, Sec 15.03, Contingency Appropriation Reduction (2010-11 GAA)	\$(183,463)	\$0	\$0
HB 4, 82nd Leg, Regular Session, Sec 1(a) General Revenue Reductions.	\$0	\$(252,505)	\$0
<i>LAPSED APPROPRIATIONS</i>			
Committed	\$(190)	\$(196,681)	\$0
TOTAL, General Revenue Fund	\$3,306,349	\$3,035,167	\$3,305,957
TOTAL, ALL GENERAL REVENUE	\$3,306,349	\$3,035,167	\$3,305,957
<u>OTHER FUNDS</u>			
<u>6</u> State Highway Fund No. 006			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2010-11 GAA)	\$3,239,763	\$3,239,763	\$3,239,763
<i>LAPSED APPROPRIATIONS</i>			
Lapse committed budget	\$(32,547)	\$(113,638)	\$0
TOTAL, State Highway Fund No. 006	\$3,207,216	\$3,126,125	\$3,239,763
<u>666</u> Appropriated Receipts			

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:28:11AM

Agency code: 360 Agency name: State Office of Administrative Hearings

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<i>REGULAR APPROPRIATIONS</i>			
Regual Appropriations from MOF Table	\$150,000	\$150,000	\$150,000
<i>RIDER APPROPRIATION</i>			
Rider 5, Expanded Jurisdiction (GAA 2010 - 2011)	\$2	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>			
Estimated Revenue not collected	\$(2,690)	\$(18,795)	\$0
TOTAL, Appropriated Receipts	\$147,312	\$131,205	\$150,000
<u>777</u> Interagency Contracts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table	\$3,669,448	\$3,669,449	\$3,545,187
<i>RIDER APPROPRIATION</i>			
Rider 5, Expanded Jurisdiction (GAA 2010 - 2011)	\$0	\$5,360	\$0
<i>LAPSED APPROPRIATIONS</i>			
Estimated Revenue not collected	\$(1,094,372)	\$(902,675)	\$0
TOTAL, Interagency Contracts	\$2,575,076	\$2,772,134	\$3,545,187
TOTAL, ALL OTHER FUNDS	\$5,929,604	\$6,029,464	\$6,934,950
GRAND TOTAL	\$9,235,953	\$9,064,631	\$10,240,907

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:28:11AM

Agency code: 360

Agency name: State Office of Administrative Hearings

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<u>FULL-TIME-EQUIVALENT POSITIONS</u>			
REGULAR APPROPRIATIONS			
Regular Appropriations from MOF Table (2010-11 GAA)	126.0	127.0	127.0
Regular Appropriations from MOF Table (2012-13 GAA)	0.0	0.0	0.0
Vacancy Equivalents (terminations)	(2.3)	(3.8)	0.0
Position contingent on workload and Estimated Revenue (MOF-IAC)	0.0	(1.0)	0.0
Position contingent on workload and Estimated Revenue (MOF-IAC)	(11.0)	(11.0)	0.0
TOTAL, ADJUSTED FTES	112.7	111.2	127.0
NUMBER OF 100% FEDERALLY FUNDED FTES	0.0	0.0	0.0

I.I.C. SUMMARY OF BUDGET BY OBJECT OF EXPENSE
82nd Regular Session, Fiscal Year 2012 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
TIME: 8:28:26AM

Agency code: 360

Agency name: State Office of Administrative Hearings

OBJECT OF EXPENSE	EXP 2010	EXP 2011	BUD 2012
1001 SALARIES AND WAGES	\$7,795,444	\$7,609,072	\$8,677,434
1002 OTHER PERSONNEL COSTS	\$220,377	\$264,231	\$311,640
2001 PROFESSIONAL FEES AND SERVICES	\$87,840	\$24,705	\$34,975
2003 CONSUMABLE SUPPLIES	\$57,446	\$51,626	\$52,120
2004 UTILITIES	\$97,916	\$97,909	\$113,997
2005 TRAVEL	\$101,904	\$82,833	\$94,208
2006 RENT - BUILDING	\$220,203	\$223,625	\$224,095
2007 RENT - MACHINE AND OTHER	\$29,362	\$23,442	\$21,273
2009 OTHER OPERATING EXPENSE	\$604,189	\$671,125	\$711,165
5000 CAPITAL EXPENDITURES	\$21,272	\$16,063	\$0
Agency Total	\$9,235,953	\$9,064,631	\$10,240,907

II.D. SUMMARY OF BUDGET OBJECTIVE OUTCOMES
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/29/2011
 Time: 8:28:41AM

Agency code: 360

Agency name: State Office of Administrative Hearings

Goal/ Objective / OUTCOME	Exp 2010	Exp 2011	Bud2012
1 Provide for a Fair and Efficient Administrative Hearings Process			
1 <i>Ensure that All Hearings are Conducted in a Fair and Impartial Manner</i>			
KEY 1 Percentage of Participants Surveyed Satisfied with Overall Process	87.40 %	78.90 %	92.00 %
2 Percent of Administrative License Revocation Orders Affirmed on Appeal	78.83 %	81.05 %	77.78 %
3 Percent of SOAH Administrative License Revocation Orders Appealed	1.63 %	0.15 %	2.24 %
KEY 4 % of Proposed Tax Decisions Issued within 60 Days of Record Closing	100.00 %	99.72 %	100.00 %
2 <i>Provide an Opportunity for Alternative Dispute Resolution Proceedings</i>			
1 Percentage of Alternative Dispute Resolution Requests Granted	94.40	97.60	93.30

III.A. STRATEGY LEVEL DETAIL
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:28:57AM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process Statewide Goal/Benchmark: 5 0
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner Service Categories:
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
Output Measures:				
	1 Number of Hearings and Prehearings Held	39,667.00	37,972.00	40,792.00
	2 Number of Hours Billed (General Docket Hearings and ALR Hearings)	80,317.40	75,184.80	87,403.21
KEY 3	Number of Administrative License Revocation Cases Disposed	34,316.00	31,316.00	34,859.00
	4 Number of Administrative License Revocation Orders Issued	47,314.00	44,933.00	47,780.00
KEY 5	Number of Cases Disposed	40,478.00	37,719.00	42,673.00
KEY 6	Number of Administrative Fine Cases Disposed	159.00	144.00	159.00
	7 Number of Requests for Continuances and Abatements Granted	13,280.00	14,435.00	13,760.00
KEY 8	Percent of Available ALJ Time Spent on Case Work	100.79 %	97.85 %	100.00 %
	9 Percent of Case Time Spent on ALR Cases	38.29	39.21	35.30
	10 Percent of Case Time Spent on General Docket (Non-ALR) Cases	61.71	60.79	64.70
KEY 11	# of Proposals for Decision Related to Tax Hearings Rendered by ALJs	251.00	355.00	214.00
Efficiency Measures:				
	1 Average Cost Per Case	210.86	217.26	248.08
KEY 2	Average # of Days-Close of Record to PFD Issuance--Major Hearings	46.00	44.70	49.80
KEY 3	Average Time to Dispose of a Case (Median Number of Days)	69.00	73.00	88.42
	4 Average Number of Days from Date of Request to Execution	0.09	0.01	0.20
KEY 5	Avg Work Days to Issue Proposed Tax Decision Following Record Closing	3.54	5.55	7.00
Explanatory/Input Measures:				
	1 Number of Hours in Hearing (Including Prehearing Conferences)	11,451.83	13,770.48	14,491.98
	2 Number of Hours Preparing Prehearing Orders, PFDs, and Final Orders	50,063.74	46,982.80	48,719.01
KEY 3	Number of Cases Received	40,270.00	40,975.00	42,090.00
KEY 4	Number of Agencies Served	46.00	45.00	51.00
	5 Percent of Adopted proposals for Decision Overturned/Remanded	0.00 %	0.00 %	0.00 %
	6 Number of Complaints Received Regarding Hearing Process	8.00	7.00	4.00

III.A. STRATEGY LEVEL DETAIL
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:29:01AM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process Statewide Goal/Benchmark: 5 0
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner Service Categories:
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
	7 Percent of PFDs Changed, Vacated or Modified by Governing Boards	2.40 %	1.64 %	2.59 %
Objects of Expense:				
1001	SALARIES AND WAGES	\$6,547,625	\$6,384,467	\$7,449,183
1002	OTHER PERSONNEL COSTS	\$187,307	\$218,133	\$277,785
2001	PROFESSIONAL FEES AND SERVICES	\$69,565	\$20,292	\$31,047
2003	CONSUMABLE SUPPLIES	\$48,856	\$42,268	\$43,781
2004	UTILITIES	\$88,772	\$88,725	\$101,311
2005	TRAVEL	\$98,006	\$81,772	\$93,596
2006	RENT - BUILDING	\$219,668	\$223,050	\$224,059
2007	RENT - MACHINE AND OTHER	\$27,989	\$20,359	\$18,166
2009	OTHER OPERATING EXPENSE	\$519,919	\$591,064	\$637,423
5000	CAPITAL EXPENDITURES	\$17,018	\$15,113	\$0
TOTAL, OBJECT OF EXPENSE		\$7,824,725	\$7,685,243	\$8,876,351
Method of Financing:				
1	General Revenue Fund	\$2,653,738	\$2,428,599	\$2,753,788
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,653,738	\$2,428,599	\$2,753,788
Method of Financing:				
6	State Highway Fund	\$2,854,142	\$2,767,629	\$2,862,651
666	Appropriated Receipts	\$147,309	\$131,205	\$149,500
777	Interagency Contracts	\$2,169,536	\$2,357,810	\$3,110,412
SUBTOTAL, MOF (OTHER FUNDS)		\$5,170,987	\$5,256,644	\$6,122,563

III.A. STRATEGY LEVEL DETAIL
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:29:01AM

Agency code: **360** Agency name: **State Office of Administrative Hearings**

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process Statewide Goal/Benchmark: 5 0
 OBJECTIVE: 1 Ensure that All Hearings are Conducted in a Fair and Impartial Manner Service Categories:
 STRATEGY: 1 Conduct Hearings and Prepare Proposals for Decisions and Final Orders Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
TOTAL, METHOD OF FINANCE :		\$7,824,725	\$7,685,243	\$8,876,351
FULL TIME EQUIVALENT POSITIONS:		94.5	93.6	109.0

III.A. STRATEGY LEVEL DETAIL
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:29:01AM

Agency code: 360 Agency name: State Office of Administrative Hearings

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process Statewide Goal/Benchmark: 5 0
 OBJECTIVE: 2 Provide an Opportunity for Alternative Dispute Resolution Proceedings Service Categories:
 STRATEGY: 1 Conduct Alternative Dispute Resolution Proceedings Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
Output Measures:				
1	Number of Hours Billed to Alternative Dispute Resolution Cases	1,730.05	1,919.60	1,791.82
Efficiency Measures:				
1	Number of Cases Resolved through Alternative Dispute Resolution	88.00	79.00	97.00
2	Average Cost Per Alternative Dispute Resolution Proceeding	753.74	797.47	1,542.76
3	Average Number of Days from Date of Request to Execution for ADR	0.00	0.00	0.00
Explanatory/Input Measures:				
KEY 1	Number of Alternative Dispute Resolution Cases Requested or Referred	144.00	122.00	155.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$212,160	\$212,564	\$217,005
1002	OTHER PERSONNEL COSTS	\$2,580	\$3,440	\$3,150
2001	PROFESSIONAL FEES AND SERVICES	\$14,199	\$378	\$491
2003	CONSUMABLE SUPPLIES	\$480	\$503	\$1,042
2004	UTILITIES	\$854	\$855	\$1,362
2005	TRAVEL	\$1,921	\$0	\$0
2006	RENT - BUILDING	\$19	\$16	\$36
2007	RENT - MACHINE AND OTHER	\$152	\$3,083	\$3,107
2009	OTHER OPERATING EXPENSE	\$19,461	\$8,231	\$8,776
5000	CAPITAL EXPENDITURES	\$4,254	\$950	\$0
TOTAL, OBJECT OF EXPENSE		\$256,080	\$230,020	\$234,969
Method of Financing:				
1	General Revenue Fund	\$185,201	\$178,696	\$179,370
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$185,201	\$178,696	\$179,370

III.A. STRATEGY LEVEL DETAIL
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:29:01AM

Agency code: 360 Agency name: State Office of Administrative Hearings

GOAL: 1 Provide for a Fair and Efficient Administrative Hearings Process
 OBJECTIVE: 2 Provide an Opportunity for Alternative Dispute Resolution Proceedings
 STRATEGY: 1 Conduct Alternative Dispute Resolution Proceedings

Statewide Goal/Benchmark: 5 0
 Service Categories:
 Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
Method of Financing:				
	666 Appropriated Receipts	\$2	\$0	\$0
	777 Interagency Contracts	\$70,877	\$51,324	\$55,599
	SUBTOTAL, MOF (OTHER FUNDS)	\$70,879	\$51,324	\$55,599
	TOTAL, METHOD OF FINANCE :	\$256,080	\$230,020	\$234,969
	FULL TIME EQUIVALENT POSITIONS:	2.0	2.0	2.0

III.A. STRATEGY LEVEL DETAIL
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:29:01AM

Agency code: 360 Agency name: State Office of Administrative Hearings

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Indirect Administration

Statewide Goal/Benchmark: 5 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,035,659	\$1,012,041	\$1,011,246
1002	OTHER PERSONNEL COSTS	\$30,490	\$42,658	\$30,705
2001	PROFESSIONAL FEES AND SERVICES	\$4,076	\$4,035	\$3,437
2003	CONSUMABLE SUPPLIES	\$8,110	\$8,855	\$7,297
2004	UTILITIES	\$8,290	\$8,329	\$11,324
2005	TRAVEL	\$1,977	\$1,061	\$612
2006	RENT - BUILDING	\$516	\$559	\$0
2007	RENT - MACHINE AND OTHER	\$1,221	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$64,809	\$71,830	\$64,966
TOTAL, OBJECT OF EXPENSE		\$1,155,148	\$1,149,368	\$1,129,587
Method of Financing:				
1	General Revenue Fund	\$467,410	\$427,872	\$372,799
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$467,410	\$427,872	\$372,799
Method of Financing:				
6	State Highway Fund	\$353,074	\$358,496	\$377,112
666	Appropriated Receipts	\$1	\$0	\$500
777	Interagency Contracts	\$334,663	\$363,000	\$379,176
SUBTOTAL, MOF (OTHER FUNDS)		\$687,738	\$721,496	\$756,788
TOTAL, METHOD OF FINANCE :		\$1,155,148	\$1,149,368	\$1,129,587
FULL TIME EQUIVALENT POSITIONS:		16.2	15.6	16.0

III.A. STRATEGY LEVEL DETAIL
82nd Regular Session, Fiscal Year 2012 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
TIME: 8:29:01AM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$9,235,953	\$9,064,631	\$10,240,907
METHODS OF FINANCE :	\$9,235,953	\$9,064,631	\$10,240,907
FULL TIME EQUIVALENT POSITIONS:	112.7	111.2	127.0

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
82nd Regular Session, Fiscal Year 2012 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
TIME: 8:29:29AM

Agency code: 360

Agency name: State Office of Administrative Hearings

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2010

EXP 2011

BUD 2012

5005 Acquisition of Information Resource Technologies

1/1 Electronic Filing System

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$69,495	\$0	\$0
2003 CONSUMABLE SUPPLIES		\$803	\$0	\$0
2004 UTILITIES		\$262	\$0	\$0
2009 OTHER OPERATING EXPENSE		\$55,219	\$0	\$0
5000 CAPITAL EXPENDITURES		\$21,272	\$0	\$0
Capital Subtotal OOE, Project	1	\$147,051	\$0	\$0
Subtotal OOE, Project	1	\$147,051	\$0	\$0

TYPE OF FINANCING

Capital

CA 777 Interagency Contracts		\$147,051	\$0	\$0
Capital Subtotal TOF, Project	1	\$147,051	\$0	\$0
Subtotal TOF, Project	1	\$147,051	\$0	\$0

2/2 Replacement Purchase of Personal Computers

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE		\$26,209	\$88,850	\$0
5000 CAPITAL EXPENDITURES		\$0	\$16,063	\$0
Capital Subtotal OOE, Project	2	\$26,209	\$104,913	\$0
Subtotal OOE, Project	2	\$26,209	\$104,913	\$0

TYPE OF FINANCING

Capital

CA 777 Interagency Contracts		\$26,209	\$104,913	\$0
------------------------------	--	----------	-----------	-----

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:29:33AM

Agency code: 360

Agency name: State Office of Administrative Hearings

Category Code / Category Name

<i>Project Sequence/Project Id/ Name</i>		EXP 2010	EXP 2011	BUD 2012
OOE / TOF / MOF CODE				
Capital Subtotal TOF, Project	2	\$26,209	\$104,913	\$0
Subtotal TOF, Project	2	\$26,209	\$104,913	\$0
Capital Subtotal, Category	5005	\$173,260	\$104,913	\$0
Informational Subtotal, Category	5005			
Total, Category	5005	\$173,260	\$104,913	\$0
AGENCY TOTAL -CAPITAL		\$173,260	\$104,913	\$0
AGENCY TOTAL -INFORMATIONAL				
AGENCY TOTAL		\$173,260	\$104,913	\$0
METHOD OF FINANCING:				
<u>Capital</u>				
777 Interagency Contracts		\$173,260	\$104,913	\$0
Total, Method of Financing-Capital		\$173,260	\$104,913	\$0
Total, Method of Financing		\$173,260	\$104,913	\$0
TYPE OF FINANCING:				
<u>Capital</u>				
CA CURRENT APPROPRIATIONS		\$173,260	\$104,913	\$0
Total, Type of Financing-Capital		\$173,260	\$104,913	\$0
Total, Type of Financing		\$173,260	\$104,913	\$0

CAPITAL BUDGET ALLOCATION TO STRATEGIES
 82nd Regular Session, Fiscal Year 2012 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
 TIME: 8:29:52AM

Agency code: 360 Agency name: State Office of Administrative Hearings

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	EXP 2010	EXP 2011	BUD 2012
5005 Acquisition of Information Resource Technologies				
<i>1/1 Electronic Filing System</i>				
Capital	1-1-1 CONDUCT HEARINGS	117,641	0	\$0
Capital	1-2-1 CONDUCT ALT DISPUTE RESOLUTION	29,410	0	0
TOTAL, PROJECT		\$147,051	\$0	\$0
<i>2/2 Purchase of Computers</i>				
Capital	2-1-1 INDIRECT ADMINISTRATION	15,621	9,862	0
Capital	1-1-1 CONDUCT HEARINGS	10,588	91,316	0
Capital	1-2-1 CONDUCT ALT DISPUTE RESOLUTION	0	3,735	0
TOTAL, PROJECT		\$26,209	\$104,913	\$0
TOTAL CAPITAL, ALL PROJECTS		\$173,260	\$104,913	\$0
TOTAL INFORMATIONAL, ALL PROJECTS				
TOTAL, ALL PROJECTS		\$173,260	\$104,913	\$0

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

82nd Regular Session, Fiscal Year 2012 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
TIME: 8:30:15AM

Agency Code: 360

Agency name: State Office of Administrative Hearings

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
1 General Revenue Fund			
Beginning Balance (Unencumbered):	\$4,694,002	\$4,686,647	\$4,568,612
Estimated Revenue:			
DEDUCTIONS:			
Expended/Budgeted/Requested	(3,673,275)	(3,540,177)	(3,305,957)
Transfer - Employee Benefits	(1,204,000)	(1,202,294)	(1,262,655)
5% Reduction (2010-11 Biennium)	183,463	0	0
HB 4 Reduction (2010-11 Biennium)	0	252,505	0
Total, Deductions	\$(4,693,812)	\$(4,489,966)	\$(4,568,612)
Ending Fund/Account Balance	\$190	\$196,681	\$0

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Linda Duncan

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

82nd Regular Session, Fiscal Year 2012 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
TIME: 8:30:21AM

Agency Code: 360

Agency name: State Office of Administrative Hearings

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
6 State Highway Fund			
Beginning Balance (Unencumbered):	\$3,870,503	\$3,891,470	\$3,901,129
Estimated Revenue:			
DEDUCTIONS:			
Expended/Budgeted/Requested	(3,207,216)	(3,126,125)	(3,239,763)
Transfer - Employee Benefits	(630,740)	(651,707)	(661,366)
Total, Deductions	\$(3,837,956)	\$(3,777,832)	\$(3,901,129)
Ending Fund/Account Balance	\$32,547	\$113,638	\$0

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Linda Duncan

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/29/2011
TIME: 8:30:21AM

82nd Regular Session, Fiscal Year 2012 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **360**

Agency name: **State Office of Administrative Hearings**

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
666 Appropriated Receipts			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3719 Fees/Copies or Filing of Records	147,272	131,185	149,500
3802 Reimbursements-Third Party	40	20	500
Subtotal: Estimated Revenue	<u>147,312</u>	<u>131,205</u>	<u>150,000</u>
Total Available	<u>\$147,312</u>	<u>\$131,205</u>	<u>\$150,000</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(147,312)	(131,205)	(150,000)
Total, Deductions	<u>\$(147,312)</u>	<u>\$(131,205)</u>	<u>\$(150,000)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

No significant change in transcript request is anticipated.

CONTACT PERSON:

Linda Duncan

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

82nd Regular Session, Fiscal Year 2012 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011
TIME: 8:30:21AM

Agency Code: 360

Agency name: State Office of Administrative Hearings

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
777 Interagency Contracts			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3765 Supplies/Equipment/Services	2,575,076	2,772,134	3,545,187
Subtotal: Estimated Revenue	<u>2,575,076</u>	<u>2,772,134</u>	<u>3,545,187</u>
Total Available	<u>\$2,575,076</u>	<u>\$2,772,134</u>	<u>\$3,545,187</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(3,669,448)	(3,674,809)	(3,545,187)
Estimated Revenue Revised Downward	1,094,372	902,675	0
Total, Deductions	<u>\$(2,575,076)</u>	<u>\$(2,772,134)</u>	<u>\$(3,545,187)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

SOAH relied on responses from agencies (provided in June 2010 during LAR process) regarding the amount of work they anticipate referring and historical data for the basis of the Interagency estimate for FY 2012.

The estimate includes workload associated with a 3 year pilot project mandated by HB 3612 (81st R.S.) in which SOAH is to hold hearings of appraisal review board appeals in 6 counties (El Paso, Harris, Tarrant, & Travis) and HB 2203 (82nd R.S.), which extended the pilot project by 1 year and added 5 counties (Collin, Denton, Fort Bend, Montgomery, and Nueces). We have no way to know whether the pilot program as extended will produce a greater or lesser number of hearings than we estimate, whether it will continue beyond the pilot (we anticipate that it will), or whether the limits set out in the bills will be eliminated or revised, thus potentially increasing the number of hearings sent to SOAH.

The FY 2012 IAC budget may vary from the amount shown, depending on the actual amount of contract work referred/handled during the fiscal year.

CONTACT PERSON:

Linda Duncan